



Certification from SWIFT

The ultimate validation of skills

- How do you demonstrate to prospective employers that you are a true SWIFT Expert?
- How do you really know the SWIFT knowledge of candidates?
- Want to get ahead in your career?

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| Topic | SWIFT Certified Expert – RMA - Alliance Access |
| Aim | Individuals who successfully pass this certification fully understand the RMA processes and can confidently use Alliance Access to create, maintain and remove relationships. |
| Content | Introduction to the RMA Service Introduction to Alliance Access and WebPlatform Alliance Relationship Management Application GUI Queries and Answers Authorisation to Receive Bootstrap Authorisations Authorisation to Send |
| Target Audience | RMA Operators |
| Recommended Study SWIFTSmart Curriculas: | Security and Audit - RMA Operator - Associate Security and Audit - RMA Operator - Professional |
| Experience | At least six months recent experience of using Alliance Access to manage RMA relationships |
| Exam Method | A variety of multiple choice questions and situational scenarios Proctored exam - on-site as part of tailored training event |
| Fee | Certification fee + Proctoring fee |
| Validity | Two years |

As SWIFT certification is based on transparency, exam criteria is detailed below to help ensure you are fully prepared.

Exam questions may additionally test your ability to apply knowledge and theory to relevant situational scenarios.

In order to successfully pass the exam you need to be able to:

Introduction to the RMA Service

List the four possible outcomes of financial companies not completing appropriate due diligence

Describe the primary purpose of the RMA application

Explain how the RMA service helps block unwanted messages

Explain how the RMA data store is populated

List the four variables used in the creation of RMA authorisations

Explain the three key tasks that are carried out by the RMA operator

Explain the purpose of xrma.001

Explain the purpose of xrma.006

Explain the impact of sending an xrma.003

Explain how to revoke a previously approved RMA request

List at least one use for a bootstrap RMA authorisation

Describe the purpose of both a xrmra.004 and a xrma.005 message

List three scenarios when a xrma.004 could be used to communicate

Explain the timeline for accepting or rejecting an RMA authorisation

Explain how the first Authorisations in RMA were created from legacy BKE Authorisations

Describe the potential impact of these legacy Authorisations from BKE

Describe the impact that RMA has on KYC requirements

List at least three actions that you should take upon receiving notification from SWIFT that a BIC that you have Authorisations with has been disconnected from the network

Recall the timescales permitted for responding to Relationship Management revocations

Recall the maximum timescale permitted for responding to Relationship Management messages

List at least two security obligations detailed in the Service Description of RMA

Explain the impact that RMA+ has on KYC requirements

Introduction to Alliance Access and WebPlatform

List the four typical user types of Alliance Access

List at least five tasks typically carried out by the Messaging operator

List at least five tasks typically carried out by the RMA manager

Describe Alliance Web Platform

Describe each of three elements required to log in to Alliance Access

Alliance Relationship Management Application GUI

Login to Alliance Relationship Management Application GUI

List at least five options available under the Authorisations menu

Send at least one Authorisation request

Explain the key task that can be completed from the Query / Answer menu

Queries and Answers

Explain how to communicate effectively about potential RMA agreements

Create at least one new Query

Create at least one new Answer

Create at least one new query from an existing authorisation

Explain the purpose of the Treated function

Treat at least one Answer

Authorisation to Receive

Describe an Authorisation to Receive

Describe what is required to exchange authenticated traffic

Explain the five elements required to create an Authorisation to Receive

Explain the approval process for sending an Authorisation to Receive to another BIC

Explain when an authorisation to receive is sent to the correspondent

Approve at least one Authorisation to Receive

Explain the feature that can be used to save time and reduce errors when creating Authorisations to Receive

Clone at least one Authorisation to Receive

Describe each of the three possible statuses of an Authorisation to Receive request

Describe how the Reciprocate feature can be used

Describe message granularity and explain why this feature would be used

Update message granularity based on a specific scenario

Name the column that displays details of message granularity in an Authorisation to Receive

List at least two reasons why a time limit must be added to an Authorisation to Receive

Explain how to add a time limit to an Authorisation to Receive

List at least two reasons why an Authorisation to Receive may need to be revoked

Explain how to revoke an Authorisation to Receive

List at least two reasons why an existing authorisation may need to be deleted

Explain the potential impact of revoking Authorisation at BIC8 level

Authorisation to Send

Explain how to accept an Authorisation to Send

Describe at least two reasons why an Authorisation may be rejected

Explain how to reject a given Authorisation to Send

Explain how to delete an existing authorisation to send

List at least three actions that you should take upon receiving notification of an Authorisation to Receive being revoked

Bootstrap Authorisations

Explain how InterAct and FileAct traffic can be filtered using the RMA service

Describe a Bootstrap authorisation and explain how it differs from other Authorisations

Describe ASP and explain its relevance with bootstrap Authorisations

Describe each of the five stages of the bootstrap Authorisation process

Describe the circumstances in which an Authorisation to send would be used in relation to bootstrap Authorisations

Explain how time limits impact bootstrap Authorisations