



SWIFT Compatible Application Profile

2020

Provider	SMARTSTREAM TECHNOLOGIES GMBH	Application	TLM Aurora-8
Business Area	Securities	Label	(G)Electronic Trade Confirmation

HQ location	Vienna - Austria	Provider contacts	Contact name	e-mail	Phone
Provider contact	THomas Holzweber	Global contact	THomas Holzweber	thomas.holzweber@smartstream-stp.com	+43-1-313 54-457
Web site	www.smartstream-stp.com	Local office 1			
Product URL	https://www.smartstream-stp.com/Solutions/What_Do_You_Need/Reconciliations#	Local office 2			

Functional overview			
Standards	MT (FIN payload)	Yes	
	MX (ISO20022)	Yes	
	MyStandards Base Libraries embedded	No	
	Other standards (please specify)		
Directories	BIC Directory	Yes	
	Bank Directory Plus	No	
	IBAN Plus	No	

Integration with SWIFT			
SWIFT integration	Alliance Access R7.4	AFT	No
		MQHA	Yes
		SOAP	No
	Lite2	Autoclient	No

SWIFT message type / category (Incoming / Outgoing)			
Buy Side		Sell Side	
MT 502 (O)	Yes	MT 513 (O)	No
MT 514 (O)	No	MT 509 (O)	Yes
MT 509 (O)	Yes	MT 515 (O)	Yes
MT 517 (O)	Yes	MT 502 (I)	Yes
MT 513 (I)	No	MT 514 (I)	No
MT 515 (I)	Yes	MT 509 (I)	Yes
MT 509 (I)	Yes	MT 517 (I)	No
GETC confirmation only workflows		GETC confirmation only workflows	

Buy Side business application features specific to ETC	
Can generate a Block Trade Order	No
Can generate a Single Trade Order	Yes
Can link the Block Trade Order to its respective Single Trade Order	No
Can update Order status to Partial Fill and Fill based on execution reports	No
Can generate Allocations that include the Block reference sent by Sell Side	No
Can manage exceptions if Sell Side rejects an Allocation	Yes
Can match Confirmation to the Allocation	No
Can Affirm back to the Sell Side if match	Yes
Can send reject back to Sell Side if no match	Yes
Can process a Cancel received before sending the Affirm	Yes
Can process a Cancel received after sending the Affirm	Yes
Can generate a settlement instruction based on contents of Confirmation	Yes
(yellow) - GETC confirmation only workflows	

Sell Side business application features specific to ETC	
Can process a Block Order	No
Can process a Single Order	Yes
Can link a Block Order to its Single Order	No
Can send details or Partial Fills and Fills	No
Can validate and link Allocations to the Block Order	No
Can validate and reject an Allocation if incorrect	No
Can send Confirmation with SellSideSSIs, and BuySide requests include their SSIs	No
Can generate a settlement instruction on receipt of Affirmation	Yes
Can manage a reject of a confirmation	Yes
Can Cancel a confirmation when needed	Yes
Can suppress Cancel if due to internal static changes with no impact on settlement	No
Can generate a settlement instruction based on contents of confirmation	Yes
(yellow) - GETC confirmation only workflows	

SWIFT messaging services supported	
FIN	Yes
FileAct - Real Time	No
FileAct - Store & Forward	Yes
InterAct - Real Time	No
InterAct - Store & Forward	Yes
WebAccess	No

Messaging features	
Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	Yes
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	Yes
Message validation (SWIFT Network rules or MFVR)	Yes
Message validation (market practices)	Yes
Message translation (MT-MX)	Yes
Message transaction management	Yes
Message entry (Graphical User Interface)	Yes

Industry initiative support	
Electronic Trade Confirmation	Yes
GETC compliance	No

Geographical reach			
Region	Sales staff	Support staff	Customers
North America	yes	yes	yes
Latin America	yes	yes	yes
Northern Europe	yes	yes	yes
Southern Europe	yes	yes	yes
Middle-East & Africa	yes	yes	yes
Asia Pacific	yes	yes	yes

Reference Customers				
Institution		Customer Contact		
Raiffeisen Bank International (RBI)		Name	Mr. Manfred Krammer	email
		Name		email
		Name		email
		Name		email