Swift Compatible Application Profile 2026

Provider	ECS Fin Inc.	Application	IMS Securities
PIC	SBXBUSBB	Version	5.6.1
Business Area	Securities	Label	Securities Settlement

HQ location	New York
Provider contact	Samraj Samuel, samraj@ecsfin.com
Function	SWIFT Manager
Website	https://ecsfin.com/
Product URL	https://www.ecsfin.com/about-us/certificat

Provider contacts	Contact name	e-mail	Phone
Global contact	Jacob Aruldhas	jacob@ecsfin.com	1 914.834.3797
Local office 1	Finney Zechariah	finney@ecsfin.com	1 917.375.7877
Local office 2	Adwin A Shines	adwin@ecsfin.com	91 9496549467

Functional Overview

Standards	MT (FIN payload)	Yes
	MX (ISO20022)	Yes
	MyStandards Base Libraries embedded	Yes
	Other standards (please specify)	XML
Directories	BIC Directory	Yes
	Identifiers Directory	Yes

Integration with Swift

Swift integration	Alliance Access R7.7 or higher	AFT	Yes
		MQHA	Yes
		SOAP	Yes
	Lite2	Autoclient	Yes

Swift Messaging Services Supported

FIN	Yes
FileAct - Real Time	Yes
FileAct - Store & Forward	Yes
InterAct - Real Time	Yes
InterAct - Store & Forward	Yes
FINplus	Yes

Swift Message Type / Category (MT Support)

Incoming messages		Outgoing messages	
Account Services			
MT 535	Yes	*MT 535*	Yes
MT 536	Yes	*MT 536*	Yes
MT 540	Yes	*MT 540*	Yes
MT 541	Yes	*MT 541*	Yes
MT 542	Yes	*MT 542*	Yes
MT 543	Yes	*MT 543*	Yes
MT 544	Yes	*MT 544*	Yes
MT545	Yes	*MT545*	Yes
MT 546	Yes	*MT 546*	Yes
MT 547	Yes	*MT 547*	Yes
MT 548	Yes	*MT 548*	Yes
MT 578	Yes	*MT 578*	Yes
MT 586	Yes	*MT 586*	Yes
Account Owners			
MT 535	Yes	*MT 535*	No
MT 536	Yes	*MT 536*	No
MT 540	No	*MT 540*	Yes
MT 541	No	*MT 541*	Yes
MT 542	No	*MT 542*	Yes
MT 543	No	*MT 543*	Yes
MT 544	Yes	*MT 544*	No
MT545	Yes	*MT545*	No
MT 546	Yes	*MT 546*	No
MT 547	Yes	*MT 547*	No
MT 548	Yes	*MT 548*	No
MT 578	Yes	*MT 578*	No
MT 586	Yes	*MT 586*	No

Messaging Features

Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	Yes
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	Yes
Message validation (Swift Network rules or MFVR)	Yes
Message validation (market practices)	Yes
Message translation (MT-MX)	Yes
Message transaction management	Yes
Message entry (Graphical User Interface)	Yes

Geographical Reach

Region	*Sales staff*	*Support staff*	*Customers*
North America	*YES*	*YES*	*YES*
Latin America	*YES*	*YES*	*YES*
Northern Europe	*YES*	*YES*	*YES*
Southern Europe	*YES*	*YES*	*No*
Middle-East & Africa	*YES*	*YES*	*YES*
Asia Pacific	*YES*	*YES*	*YES*

Additional Functionality Support

Unique Transaction Identifier (UTI) Support	Yes

Swift Message Type / Category (ISO20022 support)

semt.002.xxx.xx - SecuritiesBalanceCustodyReport	Yes	sese.025.xxx.xx - SecSettTransactionConfirmation	Yes
semt.003.xxx.xx - SecuritiesBalanceAccountingReport	Yes	sese.026.xxx.xx - SecSettTransactionReversalAdvice	Yes
semt.014.xxx.xx - IntraPositionMovementStatusAdvice	Yes	sese.027.xxx.xx - SecSettTransactionCancellReqStatusAdvice	Yes
semt.017.xxx.xx - SecuritiesTransactionPostingReport	Yes	sese.028.xxx.xx - TransactionAllegementNotification	Yes
semt.018.xxx.xx - SecuritiesTransactionPendingReport	Yes	sese.029.xxx.xx - AllegementRemovalAdvice	Yes
semt.019.xxx.xx - TransactionAllegementNotification	Yes	sese.031.xxx.xx - SecSttlConditionsModificationStatusAdvice	Yes
semt.020.xxx.xx - SecuritiesMessageCancellationAdvice	Yes	sese.032.xxx.xx - SecSettlTransactionGenerationNotification	Yes
sese.020.xxx.xx - SecuritiesTransactionCancellationRequest	Yes	sese.033.xxx.xx - SecuritiesFinancingInstruction	Yes
sese.022.xxx.xx - SecStatusOrStatementQueryStatusAdvice	Yes	sese.034.xxx.xx - SecuritiesFinancingStatusAdvice	Yes
sese.023.xxx.xx - SecuritiesSettlementTransactionInstruction	Yes	sese.035.xxx.xx - SecuritiesFinancingConfirmation	Yes
sese.024.xxx.xx - SecSettransactionStatusAdvice	Yes	sese.036.xxx.xx - SecuritiesSettlementModificationInstruction	Yes

Reference Customers

Institution	Customer Contact			
AQR Capital Management	Name	Joseph Azzara	email	joseph.azzara@aqr.com
Davidson Kempner	Name	Mintu Lnu	email	mintu@dkp.com
Broadridge Financial Solutions	Name	Ignatius John	email	ignatius.john@broadridge.com
Sculptor Capital Management	Name	Hema Athreya	email	hema.athreya@sculptor.com