

Swift Compatible Application Profile 2024



| | | | |
|---------------|------------------------------------|-------------|----------|
| Provider | BROADRIDGE FINANCIAL SOLUTIONS LTD | Application | FinMApp |
| PIC | CNETGB2L | Version | 6.3 |
| Business Area | Corporates | Label | Treasury |

| | | | | | |
|------------------|---|-------------------|--------------|--|------------------|
| HQ location | New York | Provider contacts | Contact name | e-mail | Phone |
| Provider contact | Rainer Hill | Global contact | Rainer Hill | rainer.hill@broadridge.com | +49 69 271003 42 |
| Function | | Local office 1 | James Lorenz | james.lorenz@broadridge.com | +1 845 521 2458 |
| Website | www.broadridge.com | Local office 2 | Danny Ong | danny.ong@broadridge.com | +65 9459 3099 |
| Product URL | https://www.broadridge.com/financia | | | | |

| Functional Overview | | |
|---------------------|-------------------------------------|-----|
| Standards | MT (FIN payload) | Yes |
| | MX (ISO20022) | Yes |
| | MyStandards Base Libraries embedded | Yes |
| | Other standards (please specify) | |
| Directories | BIC Directory | Yes |
| | Identifiers Directory | No |

| Integration with Swift | | | |
|------------------------|--------------------------------|------------------|-----|
| Swift integration | Alliance Access R7.7 or higher | AFT | Yes |
| | | MQHA | No |
| | | SOAP | No |
| | | Lite2 Autoclient | Yes |

| Swift Message Type / Category | | | |
|---|-----|-------------------------|-----|
| Corporate-to-bank (C2B) | | Bank-to-corporate (B2C) | |
| MT 101 | Yes | MT 199 | Yes |
| MT 199 | Yes | MT 940 | Yes |
| MT 210 | Yes | MT 942 | Yes |
| MT 999 | Yes | MT 999 | Yes |
| MT 300 | Yes | MT 300 | Yes |
| MT 320 | Yes | MT 320 | Yes |
| MT 399 | Yes | MT 399 | Yes |
| MT 104 | Yes | MT 195 | Yes |
| MT 192 | Yes | MT 196 | Yes |
| MT 195 | Yes | MT 509 | Yes |
| MT 196 | Yes | MT 515 | Yes |
| MT 502 | Yes | MT 535 | Yes |
| MT 509 | Yes | MT 544 | Yes |
| MT 527 | Yes | MT 545 | Yes |
| MT 540 | Yes | MT 546 | Yes |
| MT 541 | Yes | MT 546 | Yes |
| MT 542 | Yes | MT 536 | Yes |
| MT 543 | Yes | MT 537 | Yes |
| MT 549 | Yes | MT 538 | Yes |
| MT 565 | Yes | MT 548 | Yes |
| MT 568 | Yes | MT 558 | Yes |
| MT 920 | Yes | MT 564 | Yes |
| | | MT 565 | Yes |
| | | MT 900 | Yes |
| | | MT 910 | Yes |
| | | MT 941 | Yes |
| | | MT 950 | Yes |
| Corporate-to-bank (C2B) and Bank-to-corporate (B2C) | | | |
| MT 303 | Yes | MT 362 | Yes |
| MT 304 | Yes | MT 364 | Yes |
| MT 305 | Yes | MT 365 | Yes |
| MT 306 | Yes | MT 365 | Yes |
| MT 321 | Yes | MT 370 | Yes |
| MT 330 | Yes | MT 390 | Yes |
| MT 340 | Yes | MT 391 | Yes |
| MT 341 | Yes | MT 392 | Yes |
| MT 350 | Yes | MT 395 | Yes |
| MT 360 | Yes | MT 396 | Yes |
| MT 361 | Yes | | |

| Swift Messaging Services Supported | |
|------------------------------------|-----|
| FIN | Yes |
| FileAct - Real Time | Yes |
| FileAct - Store & Forward | Yes |
| InterAct - Real Time | Yes |
| InterAct - Store & Forward | Yes |
| WebAccess | No |

| Messaging Features | |
|---|-----|
| Message creation and processing | Yes |
| Message technical or business activity monitoring (BAM) | Yes |
| Message flow control | Yes |
| Message exception handling | Yes |
| Message reconciliation | Yes |
| Message switching and routing | Yes |
| Message repair and STP enrichment | Yes |
| Message reporting and auditing | Yes |
| Message validation (syntax, XML Schema) | Yes |
| Message validation (Swift Network rules or MFVR) | Yes |
| Message validation (market practices) | No |
| Message translation (MT-MX) | Yes |
| Message transaction management | Yes |
| Message entry (Graphical User Interface) | Yes |

| Additional Initiatives Supported | |
|--------------------------------------|-----|
| Enabled for 3SKey approval workflow | No |
| Regulatory reporting on Swift (EMIR) | Yes |

| Geographical Reach | | | |
|----------------------|-------------|---------------|-----------|
| Region | Sales staff | Support staff | Customers |
| North America | Yes | Yes | Yes |
| Latin America | No | No | Yes |
| Northern Europe | Yes | Yes | Yes |
| Southern Europe | Yes | Yes | Yes |
| Middle-East & Africa | Yes | Yes | Yes |
| Asia Pacific | Yes | Yes | Yes |

| Reference Customers | | | |
|---------------------|------------------|-------|--|
| Institution | Customer Contact | | |
| on request | Name | email | |
| | Name | email | |
| | Name | email | |
| | Name | email | |