

Swift Compatible Application Profile 2023



Provider	S&P Global Market Intelligence	Application	IMSecurities
PIC	PTSAIEQQ	Version	15.3
Business Area	Securities	Label	Securities Settlement

HQ location	New York, United States	Provider contacts	Contact name	e-mail	Phone
Provider contact	Richard Wilson	Global contact	Ankush Zutshi	Ankush.Zutshi@ihsmarkit.com	
Function	Product Management	Local office 1			
Website	https://www.spglobal.com/en/	Local office 2			
Product URL	com/marketintelligence/en/mi/products/secu				

Functional Overview		
Standards	MT (FIN payload)	Yes
	MX (ISO20022)	No
	MyStandards Base Libraries embedded	No
	Other standards (please specify)	
Directories	BIC Directory	Yes
	Bank Directory Plus	No
	IBAN Plus	No

Integration with Swift			
Swift integration	Alliance Access R7.6 or higher	AFT	No
		MQHA	Yes
	Lite2	SOAP	No
		Autoclient	No

Swift Message Type / Category (MT Support)			
Incoming messages		Outgoing messages	
<i>Account Services</i>			
MT 535	Yes	MT 535	Yes
MT 536	Yes	MT 536	Yes
MT 540	Yes	MT 540	Yes
MT 541	Yes	MT 541	Yes
MT 542	Yes	MT 542	Yes
MT 543	Yes	MT 543	Yes
MT 544	Yes	MT 544	Yes
MT545	Yes	MT545	Yes
MT 546	Yes	MT 546	Yes
MT 547	Yes	MT 547	Yes
MT 548	Yes	MT 548	Yes
MT 578	Yes	MT 578	Yes
MT 586	Yes	MT 586	Yes
<i>Account Owners</i>			
MT 535	Yes	MT 535	Yes
MT 536	Yes	MT 536	Yes
MT 540	Yes	MT 540	Yes
MT 541	Yes	MT 541	Yes
MT 542	Yes	MT 542	Yes
MT 543	Yes	MT 543	Yes
MT 544	Yes	MT 544	Yes
MT545	Yes	MT545	Yes
MT 546	Yes	MT 546	Yes
MT 547	Yes	MT 547	Yes
MT 548	Yes	MT 548	Yes
MT 578	Yes	MT 578	Yes
MT 586	Yes	MT 586	Yes

Swift Messaging Services Supported	
FIN	Yes
FileAct - Real Time	Yes
FileAct - Store & Forward	Yes
InterAct - Real Time	Yes
InterAct - Store & Forward	Yes
FINplus	Yes

Messaging Features	
Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	Yes
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	Yes
Message validation (SWIFT Network rules or MFVR)	Yes
Message validation (market practices)	Yes
Message translation (MT-MX)	Yes
Message transaction management	Yes
Message entry (Graphical User Interface)	Yes

Geographical Reach			
Region	Sales staff	Support staff	Customers
North America	Y	Y	Y
Latin America	N	N	N
Northern Europe	Y	Y	Y
Southern Europe	Y	Y	Y
Middle-East & Africa	Y	Y	Y
Asia Pacific	Y	Y	Y

Additional Functionality Support	
Unique Transaction Identifier (UTI) Support	No

Swift Message Type / Category (ISO20022 support)			
semt.002.xxx.xx - SecuritiesBalanceCustodyReport	No	sese.025.xxx.xx - SecSettTransactionConfirmation	No
semt.003.xxx.xx - SecuritiesBalanceAccountingReport	No	sese.026.xxx.xx - SecSettTransactionReversalAdvice	No
semt.014.xxx.xx - IntraPositionMovementStatusAdvice	No	sese.027.xxx.xx - SecSettTransactionCancelReqStatusAdvice	No
semt.017.xxx.xx - SecuritiesTransactionPostingReport	No	sese.028.xxx.xx - TransactionAllegationNotification	No
semt.018.xxx.xx - SecuritiesTransactionPendingReport	No	sese.029.xxx.xx - AllegementRemovalAdvice	No
semt.019.xxx.xx - TransactionAllegationNotification	No	sese.031.xxx.xx - SecStitConditionsModificationStatusAdvice	No
semt.020.xxx.xx - SecuritiesMessageCancellationAdvice	No	sese.032.xxx.xx - SecSettTransactionGenerationNotification	No
sese.020.xxx.xx - SecuritiesTransactionCancellationRequest	No	sese.033.xxx.xx - SecuritiesFinancingInstruction	No
sese.022.xxx.xx - SecStatusOrStatementQueryStatusAdvice	No	sese.034.xxx.xx - SecuritiesFinancingStatusAdvice	No
sese.023.xxx.xx - SecuritiesSettlementTransactionInstruction	No	sese.035.xxx.xx - SecuritiesFinancingConfirmation	No
sese.024.xxx.xx - SecSettTransactionStatusAdvice	No	sese.036.xxx.xx - SecuritiesSettlementModificationInstruction	No

Reference Customers			
Institution	Customer Contact		
DNB Bank	Name	Hans Martin Aulie	email Hans.martin.aulie@dnb.no
Erste Bank	Name	Wolfgang Stadt	email Wolfgang.stadt@erstegroup.com
Multrees Investor Services	Name	Chris Hancock	email Chris.hancock@multrees.com
	Name		email