

Swift Compatible Application Profile 2023



Provider	S&P Global Market Intelligence	Application	IMActions
PIC	PTSAIEQQ	Version	15.3
Business Area	Securities	Label	Corporate Actions

HQ location	New York, United States	Provider contacts	Contact name	e-mail	Phone
Provider contact	Richard Wilson	Global contact	Ankush Zutshi	ankush.zutshi@spglobal.com	
Function	Product Management	Local office 1			
Website	https://www.spglobal.com/en/	Local office 2			
Product URL	https://www.spglobal.com/marketintelligence/				

Functional Overview		
Standards	MT (FIN payload)	Yes
	MX (ISO20022)	No
	MyStandards Base Libraries embedded	No
	Other standards (please specify)	
Directories	BIC Directory	Yes
	Bank Directory Plus	No
	IBAN Plus	No

Integration with Swift			
Swift integration	Alliance Access R7.6 or higher	AFT	No
		MQHA	Yes
		SOAP	No
		Lite2	Autoclient

Swift Message Type / Category			
Incoming MT messages		Outgoing MT messages	
Asset Services			
MT 564	Yes	MT 564	Yes
MT 565	Yes	MT 565	Yes
MT 566	Yes	MT 566	Yes
MT 567	Yes	MT 567	Yes
MT 568	Yes	MT 568	Yes
Asset Holders/Investors			
MT 564	Yes	MT 564	No
MT 565	Yes	MT 565	Yes
MT 566	Yes	MT 566	No
MT 567	Yes	MT 567	No
MT 568	Yes	MT 568	No
MX message support			
seev.031.001.XX	No		
seev.032.001.XX	No		
seev.033.001.XX	No		
seev.034.001.XX	No		
seev.035.001.XX	No		
seev.036.001.XX	No		
seev.037.001.XX	No		
seev.038.001.XX	No		
seev.039.001.XX	No		
seev.040.001..XX	No		
seev.042.001.XX	No		
seev.044.002.XX	No		

Swift Messaging Services Supported	
FIN	Yes
FileAct - Real Time	Yes
FileAct - Store & Forward	Yes
InterAct - Real Time	Yes
InterAct - Store & Forward	Yes
FINplus	Yes

Messaging Features	
Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	Yes
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	Yes
Message validation (SWIFT Network rules or MFVR)	Yes
Message validation (market practices)	Yes
Message translation (MT-MX)	Yes
Message transaction management	Yes
Message entry (Graphical User Interface)	Yes

Geographical Reach			
Region	Sales staff	Support staff	Customers
North America	Yes	Yes	Yes
Latin America	No	No	No
Northern Europe	Yes	Yes	Yes
Southern Europe	Yes	Yes	Yes
Middle-East & Africa	Yes	No	Yes
Asia Pacific	Yes	Yes	Yes

Reference Customers				
Institution	Customer Contact			
UBS	Name	Stephan Wigger	email	stephan.wigger@ubs.com
Franklin Templeton	Name	Zuzanna Fleischer-Witkowska	email	Zuzanna.Fleischer-Witkowska@ft.com
JP Morgan	Name	Jacqueline Gilbert	email	Jacqueline.Gilbert@jpmorgan.com
	Name		email	