



SWIFT Certified Application Profile

2021

Provider	ECS FINANCIALS	Application	IMS Securities- 5.3.0
Business Area	Securities	Label	Securities Settlement

HQ location	50 Main Street #1000-1063, White Plains, NY 10606 - U	Provider contacts	Contact name	e-mail	Phone
Provider contact	Ben Joseph, ben.joseph@ecsfm.com	Global contact	Jacob Aruldhas	jacob@ecsfm.com	(+1) 914.834.3797
Web site	https://ecsfm.com/	Local office 1	Finney Zechariah	finney@ecsfm.com	(+1) 917.375.7877
Product URL	https://ecsfm.com/products/trade-processing-hub/	Local office 2	Adwin Shines	adwin@ecsfm.com	(+91) 949.654.9467

Functional overview		
Standards	MT (FIN payload)	Yes
	MX (ISO20022)	Yes
	MyStandards Base Libraries embedded	Yes
	Other standards (please specify)	XML
Directories	BIC Directory	Yes
	Bank Directory Plus	Yes
	IBAN Plus	Yes

Integration with SWIFT			
SWIFT integration	Alliance Access R7.4 or higher	AFT	Yes
		MQHA	Yes
	Lite2	SOAP	Yes
		Autoclient	Yes

SWIFT messaging services supported	
FIN	Yes
FileAct - Real Time	Yes
FileAct - Store & Forward	Yes
InterAct - Real Time	Yes
InterAct - Store & Forward	Yes
FINplus	Yes

SWIFT message type / category (MT support)			
Incoming messages		Outgoing messages	
Account Services			
MT 535	Yes	MT 535	Yes
MT 536	Yes	MT 536	Yes
MT 540	Yes	MT 540	Yes
MT 541	Yes	MT 541	Yes
MT 542	Yes	MT 542	Yes
MT 543	Yes	MT 543	Yes
MT 544	Yes	MT 544	Yes
MT545	Yes	MT545	Yes
MT 546	Yes	MT 546	Yes
MT 547	Yes	MT 547	Yes
MT 548	Yes	MT 548	Yes
MT 578	Yes	MT 578	Yes
MT 586	Yes	MT 586	Yes
Account Owners			
MT 535	Yes	MT 535	No
MT 536	No	MT 536	No
MT 540	No	MT 540	Yes
MT 541	No	MT 541	Yes
MT 542	No	MT 542	Yes
MT 543	No	MT 543	Yes
MT 544	Yes	MT 544	No
MT545	Yes	MT545	No
MT 546	Yes	MT 546	No
MT 547	Yes	MT 547	No
MT 548	Yes	MT 548	Yes
MT 578	Yes	MT 578	Yes
MT 586	Yes	MT 586	Yes

Messaging features	
Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	Yes
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	Yes
Message validation (SWIFT Network rules or MFVR)	Yes
Message validation (market practices)	Yes
Message translation (MT-MX)	Yes
Message transaction management	Yes
Message entry (Graphical User Interface)	Yes

Geographical reach			
Region	Sales staff	Support staff	Customers
North America	Yes	Yes	Yes
Latin America	Yes	Yes	Yes
Northern Europe	Yes	Yes	Yes
Southern Europe	Yes	Yes	No
Middle-East & Africa	Yes	Yes	Yes
Asia Pacific	Yes	Yes	Yes

SWIFT message type / category (ISO20022 support)			
semt.002.xxx.xx - SecuritiesBalanceCustodyReport	Yes	sese.025.xxx.xx - SecSettTransactionConfirmation	Yes
semt.003.xxx.xx - SecuritiesBalanceAccountingReport	Yes	sese.026.xxx.xx - SecSettTransactionReversalAdvice	Yes
semt.014.xxx.xx - IntraPositionMovementStatusAdvice	Yes	sese.027.xxx.xx - SecSettTransactionCancelReqStatusAdvice	Yes
semt.017.xxx.xx - SecuritiesTransactionPostingReport	Yes	sese.028.xxx.xx - TransactionAllegementNotification	Yes
semt.018.xxx.xx - SecuritiesTransactionPendingReport	Yes	sese.029.xxx.xx - AllegementRemovalAdvice	Yes
semt.019.xxx.xx - TransactionAllegementNotification	Yes	sese.031.xxx.xx - SecSttlConditionsModificationStatusAdvice	Yes
semt.020.xxx.xx - SecuritiesMessageCancellationAdvice	Yes	sese.032.xxx.xx - SecSettTransactionGenerationNotification	Yes
sese.020.xxx.xx - SecuritiesTransactionCancellationRequest	Yes	sese.033.xxx.xx - SecuritiesFinancingInstruction	Yes
sese.022.xxx.xx - SecStatusOrStatementQueryStatusAdvice	Yes	sese.034.xxx.xx - SecuritiesFinancingStatusAdvice	Yes
sese.023.xxx.xx - SecuritiesSettlementTransactionInstruction	Yes	sese.035.xxx.xx - SecuritiesFinancingConfirmation	Yes
sese.024.xxx.xx - SecSetttransactionStatusAdvice	Yes	sese.036.xxx.xx - SecuritiesSettlementModificationInstruction	Yes

Reference Customers				
Institution	Customer Contact			
AQR Capital Management	Name	Elle Marshall	email	Elle.Marshall@aqr.com
Central Asset Management	Name	James Abate	email	jabate@centreasset.com
Davidson Kempner	Name	Mintu Lnu	email	Mintu@dkp.com
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