



# SWIFT Compatible Application Profile

2021

<b>Provider</b>	FIS Global	<b>Application</b>	Corporate Actions Manager Suite – 2021.3
<b>Business Area</b>	Securities	<b>Label</b>	Corporate Actions

HQ location	Birmingham, AL, USA	Provider contacts	Contact name	e-mail	Phone
Provider contact	Daphne Ratliff	Global contact	Daphne Ratliff	<a href="mailto:Daphne.Ratliff@fisglobal.com">Daphne.Ratliff@fisglobal.com</a>	1 205 439 5788
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Functional overview		
Standards	MT (FIN payload)	Yes
	MX (ISO20022)	No
	MyStandards Base Libraries embedded	No
	Other standards (please specify)	
Directories	BIC Directory	Yes
	Bank Directory Plus	No
	IBAN Plus	No

Integration with SWIFT			
SWIFT integration	Alliance Access R7.4 or higher	AFT	No
		MQHA	Yes
	Lite2	SOAP	No
		Autoclient	No

SWIFT message type / category			
Incoming MT messages		Outgoing MT messages	
<i>Asset Services</i>			
<i>MT 564</i>	Yes	<i>MT 564</i>	Yes
<i>MT 565</i>	Yes	<i>MT 565</i>	Yes
<i>MT 566</i>	Yes	<i>MT 566</i>	Yes
<i>MT 567</i>	Yes	<i>MT 567</i>	Yes
<i>MT 568</i>	Yes	<i>MT 568</i>	Yes
<i>Asset Holders/Investors</i>			
<i>MT 564</i>	Yes	<i>MT 564</i>	Yes
<i>MT 565</i>	Yes	<i>MT 565</i>	Yes
<i>MT 566</i>	Yes	<i>MT 566</i>	Yes
<i>MT 567</i>	Yes	<i>MT 567</i>	Yes
<i>MT 568</i>	Yes	<i>MT 568</i>	Yes
<i>MX message support</i>			
<i>seev.031.001.XX</i>	No		
<i>seev.032.001.XX</i>	No		
<i>seev.033.001.XX</i>	No		
<i>seev.034.001.XX</i>	No		
<i>seev.035.001.XX</i>	No		
<i>seev.036.001.XX</i>	No		
<i>seev.037.001.XX</i>	No		
<i>seev.038.001.XX</i>	No		
<i>seev.039.001.XX</i>	No		
<i>seev.040.001..XX</i>	No		
<i>seev.042.001.XX</i>	No		
<i>seev.044.002.XX</i>	No		

SWIFT messaging services supported	
FIN	Yes
FileAct - Real Time	No
FileAct - Store & Forward	No
InterAct - Real Time	No
InterAct - Store & Forward	No
FINplus	No

Messaging features	
Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	No
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	No
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	No
Message validation (SWIFT Network rules or MFVR)	Yes
Message validation (market practices)	Yes
Message translation (MT-MX)	No
Message transaction management	No
Message entry (Graphical User Interface)	Yes

Geographical reach			
Region	Sales staff	Support staff	Customers
North America	X	X	X
Latin America	X		
Northern Europe	X	X	X
Southern Europe	X	X	X
Middle-East & Africa	X		X
Asia Pacific	X	X	X

Reference Customers				
Institution	Customer Contact			
US Bank	Name	Michael Sandstrom	email	<a href="mailto:Michael.Sandstrom@usbank.com">Michael.Sandstrom@usbank.com</a>
GSAM Asset Servicing	Name	Stuart Matthews	email	<a href="mailto:stuart.Matthews@gs.com">stuart.Matthews@gs.com</a>
Principal	Name	David Kauppila	email	<a href="mailto:Kauppila.Davia@principal.com">Kauppila.Davia@principal.com</a>
	Name		email	