



SWIFT Certified Application Profile

2019

Provider	BROADRIDGE FINANCIAL SOLUTIONS	Application	Broadridge Reconciliation – 7.9.2
Business Area	Cross-Market	Label	Reconciliation

HQ location	Lake Success, New York, USA	Provider contacts	Contact name	e-mail	Phone
Provider contact	Alastair McGill	Global contact	Alastair McGill	Alastair.McGill@broadridge.com	0044 20 7551 3479
Web site	www.broadridge.com	Local office 1			
Product URL	http://www.broadridge.com/financial-services-technology-operations/reconciliations-process/reconciliations/reconciliation				

Functional overview		
Standards	MT (FIN payload)	Yes
	MX (ISO20022)	No
	MyStandards Base Libraries embedded	No
	Other standards (please specify)	
Directories	BIC Directory	No
	Bank Directory Plus	No
	IBAN Plus	No

Integration with SWIFT			
SWIFT integration	Alliance Access R7.2 or higher	AFT	Yes
		MQHA	No
	Lite2	SOAP	No
		Autoclient	No

SWIFT message type / category			
MT messages		MX messages	
MT 535	Yes	camt.053.XXX.XX	No
MT 536	Yes	semt.002.XXX.XX	No
MT 537	Yes	semt.003.XXX.XX	No
MT 538	Yes	semt.016.XXX.XX	No
MT 540	Yes	semt.017.XXX.XX	No
MT 541	Yes	semt.018.XXX.XX	No
MT 542	Yes	semt.019.XXX.XX	No
MT 543	Yes	semt.020.XXX.XX	No
MT 544	Yes	sese.020.XXX.XX	No
MT 545	Yes	sese.022.XXX.XX	No
MT 546	Yes	sese.023.XXX.XX	No
MT 547	Yes	sese.024.XXX.XX	No
MT 548	Yes	sese.025.XXX.XX	No
MT 549	No	sese.026.XXX.XX	No
MT 578	Yes	sese.027.XXX.XX	No
MT 586	Yes	sese.028.XXX.XX	No
MT 940	Yes	sese.029.XXX.XX	No
MT 950	Yes	sese.031.XXX.XX	No
MTn95	Yes	sese.032.XXX.XX	No
MTn96	Yes	sese.033.XXX.XX	No
		sese.034.XXX.XX	No
		sese.035.XXX.XX	No
		sese.036.XXX.XX	No
		sese.037.XXX.XX	No

SWIFT messaging services supported	
FIN	Yes
FileAct - Real Time	Yes
FileAct - Store & Forward	Yes
InterAct - Real Time	Yes
InterAct - Store & Forward	Yes
WebAccess	No

Messaging features	
Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	Yes
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	Yes
Message validation (SWIFT Network rules or MFVR)	Yes
Message validation (market practices)	No
Message translation (MT-MX)	Yes
Message transaction management	Yes
Message entry (Graphical User Interface)	Yes

Geographical reach			
Region	Sales staff	Support staff	Customers
North America	31	50	58
Latin America			3
Northern Europe	13		265
Southern Europe			52
Middle-East & Africa		85	
Asia Pacific	9	70	

Reference Customers				
Institution	Customer Contact			
National Microfinance Bank	Name	Max Mwasilu	email	Max.Mwasilu@nmbtz.com
VTB Capital	Name	Daniel Gibbons	email	daniel.gibbons@vtbcapital.com
	Name		email	
	Name		email	