



Manage your sanctions list updates more efficiently with SWIFT's sanctions lists and management offering

Sanctions List Distribution supports effective compliance by providing public sanctions lists for download in standardised XML format

Features

- **The most commonly used public sanctions lists, downloadable and ready to use**
- **Lists are standardised in terms of data format and content and validated for accuracy**
- **Lists can be downloaded directly from the SWIFTRef platform**
- **SWIFT automatically updates lists whenever they are updated by the regulators**
- **Shared service from SWIFT**

If your job involves managing sanctions lists or updating your sanctions filters, you're very aware that lists change constantly and that their data is often inconsistent in terms of format and structure.

You might experience delays in implementing list updates due to the time required to collect and reformat public lists to fit your filters. Even if you're using lists from data providers, you may lack an overview of your list data feeds, or encounter formatting issues due to non-standardised data.

Whether you rely on public or vendor lists, or both, SWIFT's new sanctions list and management offering can help you increase efficiency and enable effective sanctions compliance.

Sanctions List Distribution will provide banks and corporates with a cost-effective alternative to sourcing and formatting public sanctions lists themselves. It will be available in Q3 2016.

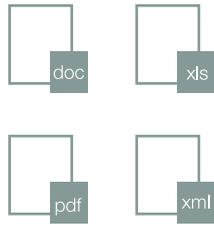
Our **List Management Service** will provide a platform for managing multiple sanctions list feeds, including public, private and Politically Exposed Persons (PEP) lists. It will be available in Q4 2016.

This factsheet explains the advantages of using **Sanctions List Distribution**. More information on our **List Management Service** will be made available in due course.

Benefits

- **Increased efficiency – no more scanning for updates and searching for lists across multiple public sites**
- **Ability to update filters quickly whenever lists change, mitigating the risk of non-compliance**
- **Focus on high-value process of managing filter updates and alerts, rather than manual work of finding and standardising list data**
- **Peace of mind that you always have access to up-to-date sanctions lists**
- **High-quality data at an affordable price**

Public sanctions list



SWIFT data model



Standardised list package



A cost-effective alternative to publicly available lists

SWIFT is creating Sanctions List Distribution to give corporates and smaller banks an affordable alternative to sourcing public sanctions lists themselves. Although public lists are freely available on regulator websites, the time and effort required to collect and reformat such lists and monitor for updates can divert time and focus from other critical sanctions compliance activities.

Sanctions List Distribution eliminates the need to source and reformat lists yourself, letting you focus on other responsibilities. You remain responsible for loading sanctions lists into your filter(s), screening for sanctions, and taking the necessary actions to handle alerts.

About SWIFT

SWIFT is a global member-owned cooperative and the world's leading provider of secure financial messaging services. SWIFT offers a growing portfolio of financial crime compliance services that address its customers' needs in the areas of sanctions, Know Your Customer (KYC) and AML.

Learn more at www.swift.com/complianceservices.

Ready to use list package in standard XML format

Public sanctions lists come in a variety of formats, with varying levels of granularity. SWIFT's sanctions list data model incorporates different public list formats and makes them available for download as a package in OFAC standard XML format. In most cases, you can download the list package and upload it to your filter without any additional data manipulation.

SWIFT will update the lists whenever updates are published by the regulators, giving you continuous access to the latest list data. The service will cover the most commonly used public sanctions lists, including European Union, HM Treasury, OFAC and United Nations lists. Over time SWIFT will expand the number of lists covered to support sanctions compliance by its community.

Using Sanctions List Distribution

Starting in Q3 2016, Sanctions List Distribution will be available as a downloadable list package on the SWIFTRef platform. You will be able to download the list package as often as you like, and use it across your organisation.

To register your interest in Sanctions List Distribution, email us at Sanctions.List.Distribution@swift.com.

A growing sanctions compliance services offering

COMING SOON

SWIFT's List Management Service will help financial institutions enhance compliance efficiency and reduce operational complexity by providing a platform to manage sanctions lists and automate list updates. Public lists will be provided in standard XML format, and users will be able to customise sanctions, PEP and private lists in line with their specific operational, compliance and risk requirements. As a hosted solution, the List Management Service will provide global visibility and clear audit processes without the need to install and maintain expensive, on-site software.

AVAILABLE NOW

If you are looking for a transaction screening solution, SWIFT's Sanctions Screening combines a best-in-class filter with a comprehensive database of automatically updated sanctions lists to deliver a highly effective 'plug and play' solution. It provides mid-size and smaller institutions with an easy to use, cost-effective answer to sanctions compliance.

If you manage your own sanctions filters, Sanctions Testing can provide insight into their configuration, and independent verification of their performance. The solution includes a secure, SWIFT-hosted application for testing your filter(s); testing scenarios based on the latest sanctions lists; and list navigation, alerts and reporting. You'll also receive expert advice from SWIFT consultants.