



SWIFT Certified Applications

# Corporates Trade and Supply Chain Finance

Technical validation Guide 2019

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# Table of Contents

<b>1</b>	<b>Preface</b> .....	<b>4</b>
1.1	Introduction .....	4
1.2	Purpose.....	4
1.3	Target Audience.....	4
1.4	Related Documents .....	4
<b>2</b>	<b>Technical Validation Process</b> .....	<b>5</b>
2.1	Integration with Alliance Interfaces .....	5
2.1.1	Direct Connectivity.....	6
2.1.2	Confirmation of Test Execution & Evidence Documents.....	8
2.1.3	Verification of the Test Results.....	8
2.1.4	Qualification Criteria Verified .....	8
2.2	Message Validation and Standards Support .....	8
2.2.1	FIN support.....	9
2.2.2	Support for ISO 20022 corporate to bank TSU messages.....	10
2.2.3	Qualification Criteria Verified .....	11
<b>3</b>	<b>Summary of Technical Validation</b> .....	<b>11</b>
<b>4</b>	<b>Test Scenario</b> .....	<b>12</b>
4.1	Test Scenarios for FIN Messages .....	12
4.2	ISO 20022 Messages .....	17
<b>5</b>	<b>FAQ</b> .....	<b>18</b>

# 1 Preface

## 1.1 Introduction

SWIFT initiated the SWIFT Certified Application label programme to help application vendors into offering products that are compliant with the business and technical requirements of the financial industry. SWIFT Certified Application labels certify third party applications and middleware products that support solutions, messaging, standards and interfaces supported by SWIFT.

SWIFT has engaged with Wipro (referred hereinafter as the “Validation Service provider”) for performing the technical validation of the products applying for a SWIFT Certified Application label.

## 1.2 Purpose

The certification for the SWIFT Certified Application for Corporates Trade and Supply chain Finance label is based on a set of pre-defined qualification criteria which will be validated by means of a technical, functional and customer validation process.

The set of pre-defined qualification criteria is defined in the SWIFT Certified Application for Corporates Trade and Supply chain Finance label criteria 2019.

This document focuses on the approach for the technical validation that a vendor application must follow to complete the technical validation against the SWIFT Certified Application for Corporates Trade and Supply Chain Finance criteria.

In the document a distinction is made between a **New Application** (vendors who apply for the certification for the first time for a specific product) and an **Application Renewal** (for product releases that already received the SWIFT Certified Application label in the past).

## 1.3 Target Audience

The target audience for this document is application vendors considering the certification of their business application for SWIFT Certified Application for Corporates Trade and Supply Chain Finance label. The audience must be familiar with the SWIFT from a technical and a business perspective.

## 1.4 Related Documents

- 1) [The SWIFT Certified Application Programme](#) provides a synopsis of the SWIFT Certified Application programme, including the benefits to join for application vendors. It also explains the SWIFT Certified Application validation process, including the technical, functional and customer validation.
- 2) [The SWIFT Certified Application for Corporates Trade Finance label criteria](#) provide an overview of the criteria that a corporate application must comply with to be granted SWIFT Certified Application label.
- 3) [SWIFT for Corporates – Resource Centre](#)
- 4) [SWIFT for Corporates – Standards MT Implementation Guide – Volume 1 \(ZIP\)](#)
- 5) [SWIFT for Corporates - Standards MT Implementation Guide – Volume 2 \(ZIP\)](#)
- 6) [SWIFTNet FileAct Implementation Guide](#)
- 7) [Trade and Supply Chain](#) pages on [swift.com](#)
- 8) [SWIFT User Handbook Online – Message Reference Guides](#)
- 9) [TSU Corporate to bank Guidelines](#)

## 2 Technical Validation Process

In this document a distinction is made between new SWIFT certified applications and label renewal applications in terms of number of criteria verified and tests executed by the vendor. The Technical validation focuses on the message validation, standards support, connectivity to Alliance Interfaces and Reference Data Directory integration. The remaining label criteria are subject to validation during the functional validation.

The following matrix explains the tests that will be performed by the vendor application.

Label Type	Depth of Testing	Message Validation	Standards Support	Integration with Alliance Interfaces	Reference Data
New Label	Comprehensive	✓	✓	✓	X
Label Renewal	Delta	X	X	(✓)*	X

(\*)Connectivity testing is applicable only if the renewal vendor wish to qualify for the adapters other than the one which they had shown in the past.

New Applicants will go through a complete technical validation against the criteria laid down in the SWIFT Certified Application for Corporate Trade and Supply Chain Finance criteria document.

The criteria that are verified include:

- Integration with Alliance interfaces
- Support of messaging services
- Support of SWIFT Standards

For label renewal, Alliance Access connectivity will be tested for 2019.

### Validation Test Bed

The vendor will need to set up and maintain 'a SWIFT test lab' to develop the required adaptors needed for validation and to perform the qualification tests. The SWIFT lab will include the Alliance Access Interface as the direct connectivity to the Integration Test bed (ITB) (including SWIFTNet Link, VPN Box, RMA security and HSM box) and the subscription to the FIN and SWIFTNet FileAct messaging services.

The installation and on-going maintenance of this SWIFT lab using a direct ITB connectivity is a pre-requirement for connectivity testing.

However as an alternative for the vendor to connect directly to the SWIFT ITB, the Validation Service provider (VSP) can provide a 'testing as a service' to integrate financial applications with SWIFT Interfaces via a remote Alliance Access over the SWIFT Integrated Test Bed (ITB) at VSP premises. Additional details can be obtained from the Wipro Testing Services – User Guide. (This is a payable optional service, not included in the standard SWIFT Certified Application subscription fee)

### 2.1 Integration with Alliance Interfaces

**Requirement:** The vendor will demonstrate the capability of the product to integrate with SWIFT Alliance Interfaces. When integrating with Alliance Access, support for Release 7.2 or higher is mandated for the SWIFT Certified Application label criteria in 2019.

**Note:** New label criteria applicant vendors and vendors renewing their label application must exchange test messages using AFT or MQHA or SOAP  
SWIFT will only publish information for which evidences have been provided during the technical validation. In case vendor application supports several of the above adapters, vendor is required to provide the appropriate evidences for all of them.

## 2.1.1 Direct Connectivity

The vendor needs to demonstrate compliance with [Alliance Access 7.2 or higher](#)

The table below specifies the adaptors and formats that must be supported.

Label Type	Alliance Access 7.2 or higher	
	Adaptor	Format
New and Renewal	AFT	RJE or XML v2
	MQHA	RJE or XML v2
	SOAP	XML v2

The vendor needs to successfully connect to and exchange test messages with the Integration Test Bed (ITB). Vendors can make use of the testing services provided by the Validation Service Provider to connect to the ITB. For more information refer to Wipro Testing Services – User Guide.

The vendor must demonstrate the capability of their product to support FIN protocol, FileAct (both real-time and store-and-forward) and associated features (example: message validation).

### 2.1.1.1 Alliance Access Integration

- Testing for connectivity to Alliance Access Interface will be verified on the SWIFT Integration Test Bed (ITB) using Alliance Access Release 7.2 or higher.
- The vendor should demonstrate the capability of the product to integrate with the Alliance Access with one of the following adaptors:
  - Automated File Transfer mode (AFT)
  - WebSphere MQ Host Adaptor (MQHA)
  - SOAP Host Adaptor (SOAPHA)

In Summary:

Messaging service	Standards	Interface	Mandatory adaptor
FIN	MT	Alliance Access 7.2 or higher	AFT or MQHA or SOAP
FileAct RT (real-time)	Any	Alliance Access 7.2 or higher	AFT or MQHA or SOAP
FileAct SF (store-and-forward)	Any	Alliance Access 7.2 Or higher	AFT or MQHA or SOAP

The vendor must connect to the SWIFT ITB and receive SWIFT network ACK / NAK notifications.

The Technical Validation documents for the AFT, MQHA and SOAPHA adaptors are available separately on [swift.com](http://swift.com) (Partner section).

### Notes for vendors having ITB connectivity

- The vendor must inform SWIFT and the Validation Service provider before starting the test execution through ITB.
- The testing on ITB can start any time before the validation window allocated to the vendor. However, the entire testing on the ITB must be completed within the time window allotted to the vendor.
- The vendor application should generate the following outbound test messages in the corporate-to-bank flow message flow as Input Message to SWIFT
  - One MT 798<700> and MT 798<700> + MT <701>
  - FileAct files comprising
    - o Letters of Credit using FIN Cat 7 (Request Type - tsrv.fin.mt7xx.lettersofcredit)
    - o Guarantees or Standbys using FIN Cat 7 (Request Type - tsrv.fin.mt7xx.gteesstandbys)

- The test messages must be compliant to Standards Release 2019.
- The vendor must request for delivery notification.
- The vendor application must exchange SWIFT messages using Alliance Access RJE or XML v2 format.
- The sender destination used in the messages is the PIC (Partner Identifier Code) that was used by the application provider to install and license Alliance Access. The receiver destination of messages must be the same PIC. Or simply stated messages should be sent to own vendor PIC.
- The vendor must connect to SWIFT ITB, send MT messages and FileAct files, receive SWIFT ACK/NAK, Delivery Notification and properly reconcile them by updating the status of sent messages.
- The vendor must inform SWIFT and the Validation Service provider about the completion of the test execution and provide evidence of testing through application event logs transmitted messages and received messages.

### **Notes for vendors testing through Wipro Testing Service**

- The vendor must contact the Validation Service provider and agree on the terms for exchanging test messages using their testing service.
- The Validation Service provider will assign a branch PIC. This PIC must be used for exchanging test messages i.e. the sender and receiver PIC must be the PIC provided the Validation Service provider.
- The Validation Service provider will configure vendor profiles in their environment and inform the vendor about their access credentials. This service will be available for an agreed period for testing the connectivity and exchanging test messages. The entire testing on the ITB must be completed within the time window allotted to the vendor.
- The vendor must generate the following test messages in the corporate-to-bank flow message flow as Input Message to SWIFT
  - One MT 798<700> and MT 798<700 + MT <701>
  - FileAct comprising
    - o Letters of Credit using FIN Cat 7 (Request Type - tsrv.fin.mt7xx.lettersofcredit)
    - o Guarantees or Standbys using FIN Cat 7 (Request Type - tsrv.fin.mt7xx.gteesstandbys )
- The test messages must be compliant to Standards Release 2019.
- The vendor must request for delivery notification.
- The vendor application must exchange the SWIFT messages using Alliance Access RJE or XML v2 format.
- The vendor must connect to SWIFT ITB, send MT messages and FileAct files, receive SWIFT ACK/NAK, Delivery Notification and properly reconcile them by updating the status of sent messages.
- The vendor must inform SWIFT and the Validation Service provider about the completion of the test execution and provide evidence of testing through application event logs transmitted messages and received messages.

## 2.1.2 Confirmation of Test Execution & Evidence Documents

After successful exchange of the test messages, the vendor should send the following test evidences by email to the Validation Service:

- A copy of the MT test messages in RJE / XML v2 format generated by the business application
- Copy of the parameter file and business payload data for FileAct files
- Application log / Screenshots evidencing the
  - processing of SWIFT messages
  - reconciliation of delivery notifications and acknowledgements
- Alliance Access Event Journal Report and Message File spanning the test execution window
- Message Partner Configuration details

**Note:** When connected through the Validation Service provider testing services, the Alliance Access logs (Event Journal Report, Message File and Message Partner configuration) will be generated by the Validation Service Provider.

## 2.1.3 Verification of the Test Results

In order to issue the scorecard and necessary recommendation, the Validation Service provider will analyse the log files, event journal, the screenshots produced by the vendor to ascertain that:

- All messages are positively acknowledged by the SWIFT Network by reviewing the log files.
- Test messages have been exchanged by the vendor over ITB.
- Test messages adhere to the SWIFT format (RJE /XML v2 formats / FileAct).
- Application is able to reconcile technical messages.

## 2.1.4 Qualification Criteria Verified

Sl. No	SWIFT Certified Application Label Qualification Criteria		Pass / Fail Status
	Section Ref Number	Label Requirement	
1.	3.4	Alliance Access Integration – AFT / MQHA/SOAPHA	
2.		Alliance Access Integration Support – Release 7.2 or higher	
3.		Alliance Access Integration – RJE / XML v2 Format	
4.		Alliance Access Integration – FileAct support	
5.	3.5	Standards FIN Support for Outgoing Messages	
6.	3.5	Standards Release 7.2 or higher	
7.	3.5	Support for ISO 20022	

## 2.2 Message Validation and Standards Support

**Requirement:** The vendor must demonstrate the application's capability to support

- FIN messages, the rules and guidelines set out in MFVR for SR 2019.
- ISO 20022 TSU messages , TSU corporate to bank guidelines

**Note:** Testing for message validation, standards support for FIN messages and ISO 20022 TSU message support is applicable only for new label applicant vendors.



## 2.2.1 FIN support

The vendor must demonstrate the capability of their product to support the new usage of MT 798 envelope message. MT 798 envelope message was made available in November 2008 in SCORE (Standardized CORporate Environment) for the bank-to-corporate (B2C) and the corporate-to-bank (C2B) usage. The main difference between the bank-to-bank (B2B) use and the C2B use of the message is that in the latter case, the contents of the envelope is highly structured. For additional information the vendor may refer to Section 5 – [SWIFT for Corporates - Standards MT Implementation Guide – Volume II](#).

The messages in the bank-to-corporate (B2C) direction must be treated as inbound flow to the bank and the message in the corporate-to-bank (C2B) direction must be treated as outbound message flow from the bank.

The vendor application must support straight-through-processing, SWIFT usage guidelines and business workflow for the MT 798 and the related sub-messages in the bank-to-corporate (B2C) and corporate-to-bank (C2B) environment.

The vendor application must support the generation and processing of MT 798 envelope message, enveloping Letter of Credit and Guarantee / Standby Letter of Credit transactions.

### Test Scenarios Planning and Execution for FIN Support

The test messages must cover the bank-to-corporate and the corporate-to-bank scenarios.

For facilitating the test execution of business work flow scenarios, test scenarios are provided in [section 4.1](#) in this document. This is applicable for new label applicant only and they must execute all the scenarios

- The vendor application should generate a minimum of one test message each of MT 798 envelope message for all the in scope scenarios specified therein.
- The Standards MT Message Implementation Guide defines the “Rules” and “Guidelines” for implementing the MT 798 envelope message under “Usage Details” of the respective message structure.
- The test messages must adhere to the “Rules” mandatorily. Adherence to “Guidelines” is a recommended practice.
- There are no network validated rules for the MT 798 (Proprietary Message) and the enveloped message within the MT 798.
- The vendor applications must adhere to the network validated rules as specified in the latest SWIFT User Handbook for the enveloped message (e.g. MT 700 - Issue of a Documentary Credit), unless otherwise stated in Section 5 – Trade Standards of SWIFT for Corporates – Standards MT Message Implementation Guidelines [5]
- SWIFT Certified Application Label applications must respect the “Rules” and will be verified during technical validation.

#### 2.2.1.1 Confirmation of Test Execution & Evidence Documents

The vendor must send the following test evidences by email to the Validation Service provider:

- Screenshots, Log Files, Reports from application evidencing generation SWIFT messages.
- A copy of the MT test messages generated by the business application.

#### File Naming Convention

- The test messages must be packaged using the RJE format.
- One file must contain one scenario of the MT 798 envelope message.
- The files must bear the name as **xxxxSRyy\_MT798\_nnn.RJE**, where “**xxxx**” representing 4 characters code [to be given by the vendor], “**yy**” representing the Year of Standards Release and “**nnn**” meaning the test message sequence number for the vendor. For eg. For a file containing test message for scenario number “001” sent by vendor “ABCD” for Standards Release 2019, the file name would be “**ABCDSR19\_MT798\_001.RJE**”.

- The vendor must also send a summary spread sheet explaining the scenario sequence number and a brief description of the scenario.

### 2.2.1.2 Verification of the Test Results

The Validation Service provider will verify the following while performing the technical validation analyse the test result to build the scorecard and recommendation.

#### FIN Message Validation

Standards Implementation Guide in respect of:

- Coverage of scenarios.
- Message Format Validation Rule of the base message.
- Presence of the Mandatory fields in the envelope message.
- Presence of the Sub Message Types in the C2B flow.
- Presence of Sub Message Types in the B2C flow.
- Rules specified in “Usage Details”.
- Linkage of Index Message with one mandatory envelope message (Details Message, where applicable).
- Message Index and Total Number of Message in Field 27A.
- Cross Reference to Customer Reference Number (Field 21A) or Advising Bank Reference Number (Field 21P) depending on the message set function.
- Document Reference Number (where applicable).
- Field 45A / 45B (Description of Goods and/or Services), 46A / 46B (Documents Required), or 47A / 47B (Additional Conditions) are distributed across MT 701 and does not get repeated.
- Dates defined as 6!n must be in the form of YYMMDD.
- Dates defined as 8!n must be in the form of YYYYMMDD.
- MT 798 envelope message must not exceed 10000 Characters.
- Size of Field 77E (Proprietary Message) must not exceed 9,800 characters.

### 2.2.2 Support for ISO 20022 corporate to bank TSU messages

New application vendors are required to execute the scenario described in Corporate Trade and Supply chain Finance Test scenario document.

**Requirement:** The purpose of these test messages is to test the application’s capabilities to support XML Document Validation (Schema Validation, Extended Validation and Error Codes), MX Rule Books.

The following functions are required for corporate-to-bank communication:

- Baseline establishment
- Baseline amendment
- Data set submission
- Intent to pay

The application must perform the following validations before forwarding the message to Alliance Access:

- Schema Validation (well-formed XML and valid schema).
- MX Validation (extended validation and generic error code).
- MX Rule Book Validation (Refer to Solutions Service Description document in the UHB section of swift.com).
- Support of the ISO 20022 tsmt messages listed in [section 4.2](#) in this document.

For additional information on XML Document validation, vendor may please refer to [TSU Corporate to bank guidelines](#)

### 2.2.2.1 Confirmation of Test Execution and Evidence Documents

After successful execution of the test scenario, the vendor must send the following test evidences to the Validation Service provider by email:

- Sample evidence demonstrating that the application has processed incoming MX messages. This will be done by sending screenshots or log file.
- A copy of the MX test messages in XML v2 format generated by the business application.

### 2.2.2.2 Verification of Test the Results

The Validation Service provider will validate the vendor output against the expected results and analyse the test result to build the scorecard recommendation.

### 2.2.3 Qualification Criteria Verified

Sl. No	SWIFT Certified Application Label Qualification Criteria		Pass / Fail Status
	Section Ref Number	Label Requirement	
8.	3.5	Standards – FIN Support	
9.	3.5	ISO 20022 message support	
10.	3.8	Business Work Flow	

## 3 Summary of Technical Validation

Validation Activity		Label NEW	Label Renewal
Message Validation [Business Workflow]	Outgoing	MT 798 [Message Flows – C2B]. The list of Message Types are listed in section 4.1  ISO 20022 messages listed in section 4.2	NA
	Incoming	MT 798 [Message Flows – B2C]. The list of Message Types are listed in section 4.1  ISO 20022 messages listed in section 4.2	NA
Standards	Standards Release	SR 2019	
	Rule Book Ref	MFVR & SWIFT for Corporates – Standards MT Implementation Guide Volume 2.SWIFT for Corporates – FileAct implementation guide <a href="#">TSU Corporate to bank Guidelines</a>	
	Optional Messages	Verified only on specific request by the vendor	
Connectivity	Alliance Access 7.2 or higher	FIN – AFT or MQHA or SOAPHA	
	Message Format	FIN – RJE / XML v2, FileAct	

## 4 Test Scenario

### 4.1 Test Scenarios for FIN Messages

The following scenario will be tested for FIN support.

**Note:** Testing for message validation and standards support is applicable only for new label applicant vendors.

	Corporate-to-bank message flow
	Bank-to-corporate message flow

SI No	Scenario	Message Direction	Sub-Message Type	Envelope Message Content	Label
<b>Import Letter of Credit Transactions</b>					
1	Irrevocable Letter of Credit	C2B	770	LC Application Index	New Label
			700	LC Application Details	New Label
2	Notification of Issuance of Documentary Credit	B2C	771	LC Notification of Issuance Index	New Label
			700	LC Notification of Issuance Details	New Label
3	Irrevocable Letter of Credit	C2B	770	LC Application Index	New Label
			700	LC Application Details	New Label
			701	LC Application Extension	New Label
			701	LC Application Extension	New Label
4	Notification of Issuance of Documentary Credit	B2C	771	LC Notification of Issuance Index	New Label
			700	LC Notification of Issuance Details	New Label
			701	LC Application Extension	New Label
			701	LC Application Extension	New Label
5	Request of Amendment of Documentary Credit	C2B	772	LC Amendment Request Index	New Label
			707	LC Amendment Request Details	New Label
			708	LC Amendment Request extension	New Label
6	Notification of Amendment of Documentary Credit	B2C	773	LC Notification of Amendment Index	New Label
			707	LC Notification of Amendment Details	New Label
			708	LC Amendment Request extension	New Label
7	Notification of Acceptance/Refusal of Amendment	B2C	736	LC Amendment Acceptance Notice Index	New Label

8	Advice of Discrepancy	B2C	748	LC Discrepancy Advice Index	New Label
			750	LC Discrepancy Advice Details	New Label
9	Response to Advice of Discrepancy	C2B	749	LC Discrepancy Response Index	New Label
10	Notification of Advice of Payment/Acceptance/Negotiation	B2C	753	LC Compliance Advice Index	New Label
11	Notification of Advice of Discharge	B2C	731	LC Discharge Advice Index	New Label
			732	LC Discharge Advice Details	New Label
12	Notification of Advice of Refusal	B2C	733	LC Refusal Advice Index	New Label
			734	LC Refusal Advice Details	New Label
13	Notification of Advice of Reimbursement or Payment	B2C	755	LC Payment Advice Index	New Label
14	Settlement of Import Documentary Credit	B2C	757	LC Import Settlement Index	New Label
<b>SI No</b>	<b>Scenario</b>	<b>Message Direction</b>	<b>Sub-Message Type</b>	<b>Envelope Message Content</b>	
15	Advice of Documentary Credit	B2C	774	LC Advice Index	New Label
			700	LC Advice Details	New Label
			701	LC Advice Extension	New Label
16	Advice of Amendment of Documentary Credit	B2C	776	LC Amendment Index	New Label
			707	LC Amendment Details	New Label
			708	LC Amendment Advice Extension	New Label
17	Advice of Third Bank Documentary Credit	B2C	780	LC Third Bank Advise Index	New Label
			710	LC Third Bank Advise Details	New Label
			711	LC Third Bank Advise Extension	New Label
18	Advice of Transfer of a Documentary Credit	B2C	782	LC Transfer Advice Index	New Label
			720	LC transfer Advice Details	New Label
19	Advice of Transfer of a Documentary Credit	B2C	782	LC Transfer Advice Index	New Label
			720	LC transfer Advice Details	New Label
			721	LC transfer Advice Extension	New Label
20	Advice of Acceptance/Refu	C2B	735	LC Amendment Acceptance Advice Index	New Label

	sal of Amendment				
21	Response to Documentary Credit presentation	B2C	737	LC Presentation Response Index	New Label
22	Discrepant Presentation Response	C2B	738	LC Discrepant Presentation Response Index	New Label
23	Notification of authorization to Pay, Accept or Negotiate	B2C	751	LC Authorization Index	New Label
24	Notification of Advice of Payment/Acceptance/Negotiation	B2C	753	LC Compliance Advice Index	New Label
25	Notification of Advice of Discharge	B2C	731	LC Discharge Advice Index	New Label
			732	LC Discharge Advice Details	New Label
26	Notification of Advice of Refusal	B2C	733	LC Refusal Advice Index	New Label
			734	LC Refusal Advice Details	New Label
27	Notification of Advice of Reimbursement or Payment	B2C	755	LC Payment Advice Index	New Label
28	Request for Transfer of a Documentary Credit	C2B	722	LC Transfer Request Index	New Label
29	Transfer Notification	B2C	723	Transfer Notification index	New Label
			720		
			721		
30	Settlement of Export Documentary Credit	B2C	758	LC Export Settlement Index	New Label
<b>Guarantees*</b>					
31	Application for Issuance of Guarantee	C2B	761	Guarantee Application Index	New label
			760	Guarantee Request Details	
32	Notification of Guarantee	B2C	762	Guarantee Notification Index	New label
			760	Guarantee Notification Details	
33	Request for Amendment of Guarantee	C2B	763	Guarantee Amendment Request Index	New label
			767	Guarantee Amendment Request Details	

34	Notification of amendment of Guarantee	B2C	764	Guarantee Amendment Notification Index	New label
			767	Guarantee Amendment Notification Details	
35	Query to extend or pay Guarantee	B2C	777	Query to extend or pay Guarantee Index	New label
36	Response to extend or pay Guarantee	C2B	778	Response to extend or pay Guarantee Index	New label
37	Claim for payment under Guarantee	C2B	712	Claim for Payment under Guarantee Index	New label
38	Acknowledgement of claim for payment of Guarantee Information	B2C	714	Acknowledgement of Claim for Payment of Guarantee Information Index	New label
39	Notification of claim for payment of Guarantee Information	B2C	779	Notification of Claim for Payment of Guarantee Information Index	New label
40	Settlement of Guarantee Claim for Payment and/or charges	B2C	781	Settlement of Guarantee Claim for Payment and/or charges	New label
41	Request for Reduction or Release of Guarantee	C2B	783	Request for Release/Reduction Index	New label
42	Advice of Reduction or Release of Guarantee	B2C	766	Advice of Release or Reduction Index	New label
			769	Advice of Release or Reduction Details	
<b>Standby Letter of Credit Transactions*</b>					
43	Application for Issuance of Standby LC	C2B	784	Standby LC Application Index	New label
			760	Standby LC Application Details	
44	Notification of Standby LC	B2C	785	Standby LC Notification Index	New label
			760	Standby LC Notification Details	
45	Application for Amendment of Standby LC	C2B	786	Standby LC Amendment Request Index	New label
			767	Standby LC Amendment Request Details	
46	Notification of Amendment of Standby LC	B2C	787	Standby LC Amendment Notification Index	New label
			767	Standby LC Amendment Notification Details	
47	Query to extend or pay Standby LC	B2C	777	Query to extend or pay Standby LC Index	New label
48	Response to extend or pay Standby LC	C2B	778	Response to extend or pay Standby LC Index	New label

49	Claim for payment under Standby LC	C2B	712	Claim for Payment under Standby LC Index	New label
50	Acknowledgement of claim for payment of Standby LC	B2C	714	Acknowledgement of Claim for Payment of Standby LC Information Index	New Label
51	Claim for payment of Standby LC Information	B2C	779	Claim for Payment of Standby LC Information Index	New Label
52	Settlement of Standby LC Claim for Payment and/or charges	B2C	781	Settlement of Standby LC Claim for Payment and/or charges	New Label
53	Request for Reduction or Release of Standby LC	C2B	783	Request for Release/Reduction Index	New Label
54	Advice of Reduction or Release of Standby LC	B2C	766	Advice of Release or Reduction Index	New Label
			769	Advice of Release or Reduction Details	
<b>Common Group/Ancillary</b>					
55	Draft Undertaking Response	C2B	719	Draft Undertaking Response details	New label
56	Request for Cancellation	C2B	797	Cancellation details	New label
57	Notification of Cancellation/Refusal	B2C	741	Cancellation notification details	New label
58	Notification of Settlement of Charges	B2C	793	Charges Settlement Notice Index	New label
			790	Charges Settlement Notice Details	New Label
59	Request for Settlement of Charges	B2C	794	Charges Settlement Request Index	New label
			791	Charges Settlement Request Details	New label
60	Ancillary Message	C2B	726	Ancillary Message details	New label
			759		
61	Ancillary Message	B2C	725	Ancillary Message details	
			759		New label

(\*): The Guarantees and Standby Business workflow messages are based on V4 Implementation guide. As per SWIFT confirmation, the SR2019 changes for Category 7 will be postponed to 2020.



## 4.2 ISO 20022 Messages

Incoming(To TSU)	Message Identifier
InitialBaselineSubmissionV03	tsmt.019.001.03
BaselineReSubmissionV03	tsmt.012.001.03
DataSetSubmissionV03	tsmt.014.001.03
BaselineAmendmentRequestV03	tsmt.009.001.03
AmendmentAcceptanceV02	tsmt.005.001.02
AmendmentRejectionV02	tsmt.007.001.02
MisMatchAcceptanceV02	tsmt.020.001.02
MisMatchRejectionV02	tsmt.022.001.02
IntentToPayNotificationV01	tsmt.044.001.01

Outgoing(From TSU)	Message Identifier
AcknowledgementV03	tsmt.001.001.03
AmendmentAcceptanceNotificationV03	tsmt.006.001.03
AmendmentRejectionNotificationV03	tsmt.008.001.03
BaselineAmendmentRequestV03	tsmt.009.001.03
BaselineMatchReportV03	tsmt.010.001.03
BaselineReportV03	tsmt.011.001.03
DatasetMatchReportV03	tsmt.013.001.03
DeltaReportV03	tsmt.015.001.03
ErrorReportV03	tsmt.016.001.03
ForwardDataSetSubmissionReportV03	tsmt.017.001.03
FullPushThroughReportV03	tsmt.018.001.03
MisMatchAcceptanceNotificaionV03	tsmt.021.001.03
MisMatchRejectionNotificationV03	tsmt.023.001.03
ActionReminderV03	tsmt.024.001.03
TimeOutNotificationV03	tsmt.040.001.03
ForwardIntentToPayNotificationV01	tsmt.045.001.01
IntentToPayReportV01	tsmt.046.001.01

## 5 FAQ

1. Can we exchange MT 798 on SWIFT ITB if we only have PIC codes? I.e. can we act as a corporate sender/receiver?

MT 798 can be exchanged by using your PIC on ITB. There is no validation on ITB for FIN Messages to verify whether the sender / receiver are a Corporate or not.

2. In MT 798, sub-type 700, tag 20 appears twice, 1. Transaction Reference No (Before tag 77E) and 2.Documentary Credit No (Under tag 77E). When parsing an incoming MT 798 this identical tag no. for different purposes, will it not create problems?

The incoming MT 798 must be parsed by taking the enveloped message as a separate message. This will not create a problem in parsing two tags having the same tag value.

3. The “Standards MT Message Implementation Guidelines” states: “Each MT 798 message must not exceed 10,000 characters, further the size of field 77E (Proprietary Message) must not exceed 9,800 characters.” This means that tags 20 and 12 may include maximal 200 characters. However, according to their specification together they may not comprise more than 27 characters. When ensuring that:

- Tag 77E does not exceed 9,800 characters
- Tags 20 and 12 may not exceed its pre-defined size

4. It is not possible to exceed 10,000 characters for the whole MT 798. Could you please describe the scenario in which the validation of 10,000 characters is required?

The format of field 77E is 73x (Text) followed by (n\*78). This means that the number of lines that can be included in field 77E is unlimited. However, the maximum size of 798 messages is 10,000 characters only. Therefore there is a restriction of 9800 characters for field 77E. Please note that while calculating the total length of field 77E, you need to add 2 characters for every CrLf used as line separator inside the field.

Remaining 200 characters comprises of the Block 1,2,3,5 and in Block 4 fields 20 and 21. Total length of the message is calculated as follows:

- 4 or 5 characters for the field tags
- 2 character for every CrLf used in the message
- Length of the every field used in the message.

Possible scenario for exceeding 10000 characters in MT 798:

- In MT 798<700> LC Application Details Message, you can use field 77E to send the details of MT 700 and the length of MT 700 is in itself 10000 characters.
- In MT 798<700> LC Application Details Message, fields 45A, 46A and 47A can accommodate 100 \* 65x each, collectively up to 19500 characters.

In either of these scenarios, you are likely to exceed 9800 characters in 77E and also 10000 characters for the MT 798 messages.

5. The MT 798 SCORE Implementation Guide specifies certain additional Usage Rules and Guidelines, Is it Mandatory?

Guidelines as specified in the MT 798 SCORE implementation Guide are for recommended practice only and hence implementation is not mandatory. However, for the SWIFT Certified Application accreditation purposes, all the Usage Rules must be adhered to.

6. When sending an MT 798 to a bank what should be the receiver details in the Block 2 of the message?

When sending an MT 798 to a corporate-to-bank message flow the Block 2 should contain receiver's BIC. However for the Technical Validation Block 2 should contain your own PIC.

7. For the validation process is membership with SCORE or MACUG mandatory?

For Technical validation of SWIFT Certified Application Label, membership with SCORE or MACUG is not mandatory.

**\*\*\* End of document \*\*\***