|  |  |
| --- | --- |
|  | **SWIFT Undertaking**New SWIFT user with existing BIC(s) |

**P****urpose**

Use this SWIFT Undertaking to submit your application as a SWIFT user. The application and admission process to become a SWIFT user and any SWIFT usership are governed by the SWIFT [Corporate Rules](https://www.swift.com/about-us/legal/corporate-matters/corporate-rules#topic-tabs-menu). The [Corporate Rules](https://www.swift.com/about-us/legal/corporate-matters/corporate-rules#topic-tabs-menu) provide in particular details regarding the SWIFT user categories, SWIFT user eligibility criteria and other conditions for the SWIFT User application and admission process. If you are eligible to become a shareholder of SWIFT, the SWIFT [By-laws](https://www.swift.com/about-us/legal/corporate-matters/swift-by-laws) govern SWIFT shareholding.
SWIFT users accept the [SWIFT General Terms and Conditions](https://www2.swift.com/uhbonline/books/public/en_uk/sgtc/index.htm) for and in connection with their use of FIN or SWIFTNet messaging services and various other SWIFT services and products, as explained below.

Together with your application to join SWIFT, you also order or subscribe to various SWIFT services and products, some of them being automatically linked to the SWIFT usership status (e.g. the SWIFT User Handbook or SWIFTSmart), or selected during the application process or listed in the latest SWIFT quotation issued in connection with your application. For more information about the applicable terms and conditions, see the Terms and Conditions section at the end of the SWIFT Undertaking. For more information about the ordered SWIFT services and products, see the related documentation in the User Handbook Online.

In addition to this SWIFT Undertaking, a separate transfer consent letter will need to be executed to transfer the responsibility for relevant existing BIC(s) and other SWIFT contractual arrangements (if any) from the existing SWIFT user to the new SWIFT user. This transfer will be valid subject to the applicant becoming an approved SWIFT user.

**Application documents and SWIFT Undertaking**

As part of the application and admission process to become a SWIFT User, the applicant shall complete, print, and sign this Undertaking, and make it available to SWIFT together with, at a minimum, following [documents](https://www.swift.com/node/101391).

The SWIFT Undertaking and the set of documents as per above list shall be sent by e-mail in pdf/jpeg format. The original SWIFT undertaking duly signed shall be sent by courier service to SWIFT. See below for both the address and e-mail.

**Mailing address**

Mailing address for SWIFT Undertaking and application documents:

SWIFT

Customer Operations – Membership Management – SO4

Avenue Adele 1 – B 1310 La Hulpe - Belgium

e-mail: membership@swift.com

**Company information of the organisation that applies to become a SWIFT user**

Full legal name of applicant:

Building name:

Building information

Floor

Street name and number:

Postal/ZIP code:

City:

State:

Country:

Company website:

Trading name:

Company Registration Number:

Trade Registration Authority:

Country of Registration:

Legal form:

Name of Primary Financial Supervisory Authority:

Country of Primary Financial Supervisory Authority:

Operational License Number:

Existing BIC(s) you intend to use:

Legal Name of the existing BIC:

**Direct and Ultimate Parent Company Information**

Full legal name of direct parent:

Direct parent country of registration:

Direct parent BIC:

Percentage of ownership:       direct       indirect

Full legal name of ultimate parent:

Ultimate parent country of registration:

**Sanctions Regulations**

*(to be completed when applicable only)*

Please indicate if your company is currently:

|  |
| --- |
|[ ]  Designated under the EU or US sanctions regulations  |
|[ ]  Owned by one or more persons (that is a legal entity or a natural person) that is designated under the EU or US sanctions regulations. If owned, please list |
| Full legal name(s),or Name and Surname  | country of residence  | % of ownership |
|       |        |        |
|        |        |        |
|        |        |        |
|[ ]  Does your organisation own any legal entity that is designated under the EU or US sanctions regulations, if so please list |
| Full legal name(s),  | country of residence  | % of ownership |
|       |       |       |
|       |       |       |

Any changes in ownership information provided above must be communicated to SWIFT without undue delay.

*Note:*

*If this section is left blank, by signing this SWIFT Undertaking the undersigned declare(s) and confirm(s) that the organisation applying to become a SWIFT User herein is not a sanctioned entity, is not owned by a legal or natural person, or does not own a legal entity that is designated under EU, UN, or US sanctions regulation.*

**Primary Business Contact of Applicant SWIFT user**

*The Primary Business Contact is the main contact person responsible in your organisation for all matters regarding SWIFT usership and shareholding (if any), and to inform SWIFT of any corporate of legal changes within your company that could affect SWIFT usership. The Primary Business Contact shall be created and be kept current at all times on* [*https://www.swift.com/myswift*](https://www.swift.com/myswift)

Mr/Ms:

Family name:

First name:

Title:

E-mail:

Office phone number

**SWIFT.com Administrators**

*The swift.com administrators maintain the user accounts and access rights for your organisation for services offered via swift.com. It is mandatory to define at least two (2) swift.com administrators who should be kept current at all times on* [*https://www.swift.com/myswift*](https://www.swift.com/myswift)

**First swift.com administrator**

Mr/Ms:

First name:

Last name:

Title:

E-mail:

Office phone number

**Second swift.com administrator**

Mr/Ms:

First name:

Last name:

Title:

E-mail:

Office phone number

**SWIFT User Category**

Please select the SWIFT User category you apply for:

|  |
| --- |
|[ ]  Supervised financial institution  |
| [ ]   | Non-supervised entity active in the financial industry |
|[ ]  Corporate - SCORE model |
|[ ]  Payment System Participant  |
|[ ]  Securities System Participant  |
|[ ]  Participant in MA-CUG – financial institution  |
|[ ]  Participant in MA-CUG – Corporate |
|[ ]  Treasury Counterparty |
|[ ]  Financial Market Regulator |
|[ ]  Securities Market Data Provider |

**Terms and Conditions**

***SWIFT Corporate Rules, By-Laws and SWIFT General Terms and Conditions:***

The application and admission process to become a SWIFT user and any SWIFT usership are governed by the SWIFT [Corporate Rules](https://www.swift.com/about-us/legal/corporate-matters/corporate-rules?tl=en#topic-tabs-menu). The SWIFT [By-laws](https://www.swift.com/about-us/legal/corporate-matters/swift-by-laws) govern SWIFT shareholding . . The provision and use of FIN or SWIFTNet messaging services and other SWIFT services and products are governed by the [SWIFT General Terms and Conditions](https://www2.swift.com/uhbonline/books/public/en_uk/sgtc/index.htm), as set out below.

***Other terms and Conditions:***

As applicable, the provision and use of the SWIFT services and products below are governed by the following Terms and Conditions:

|  |  |
| --- | --- |
| SWIFT SERVICE OR PRODUCT | APPLICABLE TERMS AND CONDITIONS |
| FIN, SWIFTNet messaging services (InterAct, FileAct or Browse), Alliance Lite2, Standardised Corporate Environment (SCORE), Member-administered Closed User Group (MA-CUG), Relationship Management Authorisation (RMA), SWIFTNet PKI, Support, Peace of Mind (POM) pack, SWIFT Integration Layer (SIL) | [SWIFT General Terms and Conditions](https://www.swift.com/about-us/legal/swift-terms-and-conditions/general-terms-conditions) |
| BIC | The then current version of the ISO 9362 Standard (available at [www.swift.org](http://www.swift.org)) and of the BIC Registration Procedures (available at <https://www.swift.com/standards/data-standards/bic?AKredir=truehttp://www.swift.com/bic>) govern the registration of BICs and the related roles and responsibilities of all parties involved. The BIC policy provides specific guidelines for the use of BICs by SWIFT users, in particular as identifiers and addresses within the SWIFT messaging services. |
| SWIFT Services | [SWIFT Services Terms & Conditions](https://www.swift.com/about-us/legal/swift-terms-and-conditions/consulting-t_cs?tl=en#topic-tabs-menu) |
| SWIFTRef Products and Services | [SWIFTRef Products and Services](https://www.swift.com/about-us/legal/swift-terms-and-conditions/other-terms-and-conditions?tl=en#SWIFTRefProductsandServices) |
| Hardware security tokens | [HSM - Terms and Conditions, HSM Tokens and Cards Terms and Conditions](https://www.swift.com/about-us/legal/swift-terms-and-conditions/other-terms-and-conditions?tl=en#HSM-TermsandConditions) |
| SWIFTSmart | [SWIFTSmart - Terms and Conditions](https://www.swift.com/about-us/legal/swift-terms-and-conditions/other-terms-and-conditions?tl=en" \l "SWIFTSmartandCertificationTermsandConditions)[SWIFTSmart - Terms of Use](https://www.swift.com/about-us/legal/swift-terms-and-conditions/other-terms-and-conditions?tl=en" \l "SWIFTSmartandCertificationTermsandConditions) |
| Alliance Essentials Kit, Alliance Access Kit, Alliance Entry Kit, Alliance Gateway Kit, Alliance SWIFTNet Link Kit, Alliance Remote Kit | [SWIFT General Terms and Conditions](https://www.swift.com/about-us/legal/swift-terms-and-conditions/general-terms-conditions)[HSM - Terms and Conditions, HSM Tokens and Cards Terms and Conditions](https://www.swift.com/about-us/legal/swift-terms-and-conditions/other-terms-and-conditions?tl=en#HSM-TermsandConditions) |

***Ordering – Privacy***

SWIFT will process any personal data you provide according to the [Data Protection Policies](https://www.swift.com/about-us/legal/compliance/data-protection-policies)

|  |  |
| --- | --- |
| Company signatureThe undersigned declares to have the necessary capacity and authority to execute this form for and on behalf of the company identified above and confirms that the information supplied in this form is complete, accurate and current.  | Second Company signature (if required) The undersigned declares to have the necessary capacity and authority to execute this form for and on behalf of the company identified above and confirms that the information supplied in this form is complete, accurate and current. |
| Name:      Title:      E-mail:           (Signature)Date:       | Name:      Title:      E-mail:           (Signature)Date:       |

**-end-**