



SWIFT Certified Application Profile

2017

Provider	BROADRIDGE FINANCIAL SOLUTIONS	Application	STM PROactive – 5.1
Business Area	Corporates	Label	Corp - Treasury

HQ location	New York	Provider contacts	Contact name	e-mail	Phone
Provider contact	Rainer Hill	Global contact	Rainer Hill	Rainer.Hill@broadridge.com	+49 69 271003 42
Web site	www.broadridge.com	Local office 1	Gautham Chari	Gautham.Chari@broadridge.com	+1 201 714 8264
Product URL	https://www.broadridge.com/facets/services/composite-solutions/secure-finance-offerings/cash-and-derivatives/swift-services	Local office 2	Danny Ong	Danny.Ong@broadridge.com	+65 9459 3099

Functional overview		
Standards	MT (FIN payload)	Yes
	MX (ISO20022)	Yes
	MyStandards Base Libraries embedded	Yes
	Other standards (please specify)	
Directories	BIC Directory	Yes
	Bank Directory Plus	No
	IBAN Plus	No

Integration with SWIFT			
SWIFT integration	Alliance Access	AFT	Yes
		MQHA	No
	Lite2	SOAP	No
		Autoclient	No

SWIFT message type / category			
Corporate-to-bank (C2B)		Bank-to-corporate (B2C)	
MT 101	Yes	MT 199	Yes
MT 199	Yes	MT 940	Yes
MT 210	Yes	MT 942	Yes
MT 999	Yes	MT 999	Yes
MT 300	Yes	MT 300	Yes
MT 320	Yes	MT 320	Yes
MT 399	Yes	MT 399	Yes
MT 104	No	MT 195	No
MT 192	No	MT 196	No
MT 195	No	MT 900/910	No
MT 196	No	MT 910	No
MT 920	No	MT 950	No
		MT 941	No
Corporate-to-bank (C2B) and Bank-to-corporate (B2C)			
MT 303	No	MT 362	No
MT 304	No	MT 364	No
MT 305	No	MT 365	No
MT 306	No	MT 390	No
MT 330	No	MT 391	No
MT 340	No	MT 392	No
MT 341	No	MT 395	No
MT 350	No	MT 396	No
MT 360	No	MT 370	No
MT 361	No	MT 321	No

SWIFT messaging services supported	
FIN	Yes
FileAct - Real Time	Yes
FileAct - Store & Forward	Yes
InterAct - Real Time	Yes
InterAct - Store & Forward	Yes
WebAccess	No

Messaging features	
Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	Yes
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	Yes
Message validation (SWIFT Network rules or MFVR)	Yes
Message validation (market practices)	No
Message translation (MT-MX)	Yes
Message transaction management	Yes
Message entry (Graphical User Interface)	Yes

Additional initiatives supported	
Enabled for 3SKey approval workflow	Yes
Regulatory reporting on SWIFT (EMIR)	Yes

Geographical reach			
Region	Sales staff	Support staff	Customers
North America	Yes	Yes	Yes
Latin America	No	No	Yes
Northern Europe	Yes	Yes	Yes
Southern Europe	Yes	Yes	Yes
Middle-East & Africa	Yes	Yes	Yes
Asia Pacific	Yes	Yes	Yes

Reference Customers				
Institution	Customer Contact			
on request	Name		email	
	Name		email	
	Name		email	
	Name		email	