



# SWIFT Certified Application Profile

2017

<b>Provider</b>	ECS Fin	<b>Application</b>	IMS Securities – 5.0
<b>Business Area</b>	Securities	<b>Label</b>	Securities Settlement

<b>HQ location</b>	50 Main Street #1000-1063, White Plains, NY 10606	<b>Provider contacts</b>	<b>Contact name</b>	<b>e-mail</b>	<b>Phone</b>
<b>Provider contact</b>	Ben Joseph, ben.joseph@ecsfm.com	<b>Global contact</b>	Jacob Aruldas	<a href="mailto:jacob@ecsfm.com">jacob@ecsfm.com</a>	1.914.834.3797
<b>Web site</b>	<a href="http://www.ecsfm.com">www.ecsfm.com</a>	<b>Local office 1</b>	Beatriz Inigo	<a href="mailto:beatriz@ecsfm.com">beatriz@ecsfm.com</a>	1.917.817.1669
<b>Product URL</b>	<a href="http://ecsfm.com/trade-processing-hub/">http://ecsfm.com/trade-processing-hub/</a>	<b>Local office 2</b>	Jasline Joy	<a href="mailto:jasline@ecsfm.com">jasline@ecsfm.com</a>	1.914.902.0101

Functional overview		
Standards	MT (FIN payload)	Yes
	MX (ISO20022)	Yes
	MyStandards Base Libraries embedded	Yes
	Other standards (please specify)	XML
Directories	BIC Directory	Yes
	Bank Directory Plus	Yes
	IBAN Plus	Yes

Integration with SWIFT			
SWIFT integration	Alliance Access	AFT	Yes
		MQHA	No
	Lite2	SOAP	No
		Autoclient	No

SWIFT message type / category (MT support)			
Incoming messages		Outgoing messages	
<i>Account Services</i>			
MT 535	Yes	MT 535	Yes
MT 536	Yes	MT 536	Yes
MT 540	Yes	MT 540	Yes
MT 541	Yes	MT 541	Yes
MT 542	Yes	MT 542	Yes
MT 543	Yes	MT 543	Yes
MT 544	Yes	MT 544	Yes
MT545	Yes	MT545	Yes
MT 546	Yes	MT 546	Yes
MT 547	Yes	MT 547	Yes
MT 548	Yes	MT 548	Yes
MT 578	Yes	MT 578	Yes
MT 586	Yes	MT 586	Yes
<i>Account Owners</i>			
MT 535	Yes	MT 535	No
MT 536	No	MT 536	No
MT 540	No	MT 540	Yes
MT 541	No	MT 541	Yes
MT 542	No	MT 542	Yes
MT 543	No	MT 543	Yes
MT 544	Yes	MT 544	No
MT545	Yes	MT545	No
MT 546	Yes	MT 546	No
MT 547	Yes	MT 547	No
MT 548	Yes	MT 548	Yes
MT 578	Yes	MT 578	Yes
MT 586	Yes	MT 586	Yes

SWIFT messaging services supported	
FIN	Yes
FileAct - Real Time	Yes
FileAct - Store & Forward	Yes
InterAct - Real Time	Yes
InterAct - Store & Forward	Yes
WebAccess	No

Messaging features	
Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	Yes
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	Yes
Message validation (SWIFT Network rules or MFVR)	Yes
Message validation (market practices)	Yes
Message translation (MT-MX)	Yes
Message transaction management	Yes
Message entry (Graphical User Interface)	Yes

Geographical reach			
Region	Sales staff	Support staff	Customers
North America	Yes	Yes	Yes
Latin America	Yes	Yes	Yes
Northern Europe	Yes	Yes	No
Southern Europe	Yes	Yes	No
Middle-East & Africa	Yes	Yes	Yes
Asia Pacific	Yes	Yes	Yes

SWIFT message type / category (ISO20022 support)			
semt.002.XXX.XX - SecuritiesBalanceCustodyReport	No	sese.024.XXX.XX - SecSettransactionStatusAdvice	No
semt.003.XXX.XX - SecuritiesBalanceAccountingReport	No	sese.025.XXX.XX - SecSettTransactionConfirmation	No
semt.014.XXX.XX - IntraPositionMovementStatusAdvice	No	sese.026.XXX.XX - SecSettTransactionReversalAdvice	No
semt.017.XXX.XX - SecuritiesTransactionPostingReport	No	sese.027.XXX.XX - SecSettTransactionCancelReqStatusAdvice	No
semt.018.XXX.XX - SecuritiesTransactionPendingReport	No	sese.028.XXX.XX - TransactionAllegationNotification	No
semt.019.XXX.XX - TransactionAllegationNotification	No	sese.029.XXX.XX - AllegementRemovalAdvice	No
semt.020.XXX.XX - SecuritiesMessageCancellationAdvice	No	sese.031.XXX.XX - SecSttlConditionsModificationStatusAdvice	No
semt.021.XXX.XX - SecuritiesStatementQuery	No	sese.032.XXX.XX - SecSettITransactionGenerationNotification	No
sese.020.XXX.XX - SecuritiesTransactionCancellationRequest	No	sese.033.XXX.XX - SecuritiesFinancingInstruction	No
sese.021.XXX.XX - SecuritiesSettlementTransactionInstruction	No	sese.034.XXX.XX - SecuritiesFinancingStatusAdvice	No
sese.022.XXX.XX - SecStatusOrStatementQueryStatusAdvice	No	sese.035.XXX.XX - SecuritiesFinancingConfirmation	No
sese.023.XXX.XX - SecuritiesSettlementTransactionInstruction	No	sese.036.XXX.XX - SecuritiesSettlementModificationInstruction	No

Reference Customers				
Institution	Customer Contact			
	Name		email	
	Name		email	
	Name		email	
	Name		email	