

SWIFT Audit Guidelines



*SWIFT Training
Our knowledge,
your solution.*

You will learn how to

- Identify the different areas where potential risks exist and how these risks can be avoided. These risk areas include the access to SWIFTNet and the SWIFT interfaces, segregation of duties, accountability for the messages, integrity and confidentiality of the messages and resilience of the SWIFT interfaces
- Extract and analyse data reports that will be used to control your SWIFT environment

Are you ready to perform an audit of your SWIFT environment? Do you want to know where to look for potential risks? This course provides you with the information that will allow you to perform your audit in complete confidence.

Audience

This risk management course is mainly targeted at internal auditors, risk managers, Security Officers, and any member of a team responsible for performing an audit of SWIFT systems or looking for potential risks in a SWIFT environment.

Duration

Classroom course: 2 days
On-site training: as per your requirements

Prior knowledge

A basic knowledge about SWIFT and/or a SWIFT interface is useful but not required.

Course content

Various checklists and exercises on data analysis practice will guide you through the following topics:

- Overview of SWIFT
- PKI certificates and HSMs
- Risk analysis in SWIFTNet and the SWIFT interfaces
- Integrity, filtering and other security controls
- Audit trails
- Archive, backup and business continuity planning

Note

This course uses the Alliance portfolio to illustrate different security concepts. Other SWIFT interfaces have different layouts of screens and printouts, but the general concepts are always the same.

More information

Please visit www.swift.com/training.