



SWIFTReady Application Profile

2010

SWIFT Partner	Swissrisk Financial Systems	Application	X-Gen
Business Area	Securities	Label	SWIFTReady Funds

HQ location	Frankfurt, Germany	Partner contacts	Contact name	e-mail	Phone
SWIFT contact	dirk.vesper@sr-financial-systems.com	Global contact	Dirk Vesper	dirk.vesper@sr-financial-systems.com	+49 69 50952 383
Web site	www.sr-financial-systems.com	Local office 1	Norbert Steinbach	norbert.steinbach@sr-financial-systems.com	+352465030303
Product URL	http://www.sr-financial-systems.com/srfs/index.php?id=52				
Product Overview	Integration and workflow processing engine which covers all SWIFTNet Funds (ISO20022 and ISO15022) messages including message validation, transformation and exception handling. Workflows for funds processing messages can be configured in a graphical development environment.				

Functional overview		
Standards	MT (FIN payload)	Yes
	MX (ISO20022)	Yes
	FpML	Yes
	FIX	Yes
	XBRL	No
	Standards Developer Kit (SDK) embedded	No
	Other standards (please specify)	EMX
Directories	BIC	Yes
	BICPlusIBAN	Yes
	SEPA Routing	Yes
Business Domain	Regulation compliance and reporting	Yes
	Risk management (liquidity, market, operation)	Yes
	Pre-trade and trading	Yes
	Matching, Clearing and Confirmation	Yes
	Settlement and Reconciliation	Yes
	Straight Trough Processing (STP)	Yes
	Operational Reporting	Yes
	Financial Crime Prevention	Yes
Reference Data and Business Intelligence	Yes	

Integration with SWIFT				
Direct integration	Alliance Access	AFT	Yes	
		MQSA / MQHA	Yes	
		ADK	No	
		SOAP	No	
	Alliance Gateway	RAHA	Yes	
		WSHA	No	
		MQHA	Yes	
		FTA/ FTI	Yes	
		Alliance Lite	AutoClient (LATS)	No
		Vendor interface		
Indirect integration	SWIFTReady			
	Financial EAI			

Messaging features	
Message creation and processing	Yes
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	Yes
Message switching and routing	Yes
Message repair and STP enrichment	Yes
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	Yes
Message validation (SWIFT Network rules or MFVR)	Yes
Message validation (market practices)	Yes
Message translation (MT-MX)	Yes
Message transaction management	Yes
Message entry (Graphical User Interface)	Yes

Industry initiatives supported		
Payments	SEPA	Yes
	E-invoicing	No
	Insurance	No
	Giovannini	No
Securities	MIFID	Yes
	TARGET 2 for Securities	No
	EuroClear CCI	No

SWIFT message type or category (MT, MX, others)			
Incoming messages (ex 103, 54X...)		Outgoing messages	
MT515		MX TIC Msgs	
MT502		MX TIC Msgs	
MT509		MX TIC Msgs	
MX TIX Msgs		MT 502	
MX TIX Msgs		MT 509	
MX TIX Msgs		MT 515	

Target customer type		
Banks	Retail and Personal banking	Yes
	Commercial and Business banking	Yes
	Investment and Merchant banks	Yes
	Central and National banks	Yes
Securities	Broker / Dealer	Yes
	Funds and Investment Manager	Yes
	Custody and Asset Management	Yes
Market Infrastructures	Payments (RTGS, Target 2, ...)	No
	Securities (CSD, CCP, T2S,...)	Yes
Others	Corporate	No
	Insurance	No
	Other to specify	

SWIFT messaging services supported	
FIN	Yes
FileAct - Real Time	Yes
FileAct - Store & Forward	Yes
InterAct - Real Time	Yes
InterAct - Store & Forward	Yes
Browse	No

Product Performance	
Maximum number of messages per hour	180000
Highest number of messages per day by largest customer	
Is your application scalable (locally or on other platforms)	yes

Technical deployment	
Mainframe	No
Windows PC or Unix box	Yes
Software as a Service (SaaS)	Yes
Add-on component (SOA, Web Service, Alliance add-on)	Yes
Integration middleware (EAI, ESB, BPM)	Yes

Geographical reach			
Region	Sales staff	Support staff	Customers
North America			1
Latin America			
Northern Europe			3
Southern Europe			1
Middle-East & Africa			
Asia Pacific			

Reference customers				
Institution		Customer contact		
Schroders IM, Luxemburg		Name	Rainer Aisch	Email
more clients on request		Name		Email
		Name		Email