



SWIFTReady Application Profile

2010

SWIFT Partner	ACE SOFTWARE SOLUTIONS	Application	PELICAN AML 6.1
Business Area	Cross-Market	Label	SWIFTReady Alliance Add-on

HQ location	New Jersey, USA	Partner contacts	Contact name	e-mail	Phone
SWIFT contact	Amit Desai	Global contact	Parth Desai	pdesai@acesw.com	+44 208 947 7200
Web site	www.acesw.com	Local office 1	Parth Desai	pdesai@acesw.com	+44 208 947 7200
Product URL	http://www.acesw.com/Products/PelicanAML.aspx	Local office 2	Rajiv Desai	rdesai@acesw.com	+1 732 603 4993
Product Overview	PELICAN AML is a specialized product that enables organizations to comply with Blacklist regulations from various regulatory authorities such as OFAC, OSFI, EU, UN and others. PELICAN AML uses natural language processing to scan financial messages quickly and accurately. It intelligently identifies blacklisted entities in transactions and highlights them.				

Functional overview		
Standards	MT (FIN payload)	Yes
	MX (ISO20022)	Yes
	FpML	No
	FIX	No
	XBRL	No
	Standards Developer Kit (SDK) embedded	No
	Other standards (please specify)	NA
Directories	BIC	Yes
	BICPlusIBAN	Yes
	SEPA Routing	No
Business Domain	Regulation compliance and reporting	Yes
	Risk management (liquidity, market, operation)	No
	Pre-trade and trading	No
	Matching, Clearing and Confirmation	No
	Settlement and Reconciliation	No
	Straight Trough Processing (STP)	No
	Operational Reporting	No
	Financial Crime Prevention	Yes
Reference Data and Business Intelligence	No	

Integration with SWIFT				
Direct integration	Alliance Access	AFT	No	
		MQSA / MQHA	No	
		ADK	Yes	
		SOAP	No	
	Alliance Gateway	RAHA	No	
		WSHA	No	
		MQHA	No	
		FTA/ FTI	No	
		Alliance Lite	AutoClient (LATS)	No
		Vendor interface		
Indirect integration	SWIFTReady Financial EAI	ACE PELICAN		

Messaging features	
Message creation and processing	No
Message technical or business activity monitoring (BAM)	Yes
Message flow control	Yes
Message exception handling	Yes
Message reconciliation	No
Message switching and routing	Yes
Message repair and STP enrichment	No
Message reporting and auditing	Yes
Message validation (syntax, XML Schema)	No
Message validation (SWIFT Network rules or MFVR)	No
Message validation (market practices)	No
Message translation (MT-MX)	No
Message transaction management	No
Message entry (Graphical User Interface)	No

Industry initiatives supported		
Payments	SEPA	No
	E-invoicing	No
	Insurance	No
	Giovannini	No
Securities	MIFID	No
	TARGET 2 for Securities	No
	EuroClear CCI	No

SWIFT message type or category (MT, MX, others)			
Incoming messages (ex 103, 54X...)		Outgoing messages	
All MT and MX		All MT and MX	

Target customer type		
Banks	Retail and Personal banking	Yes
	Commercial and Business banking	Yes
	Investment and Merchant banks	Yes
	Central and National banks	Yes
Securities	Broker / Dealer	Yes
	Funds and Investment Manager	Yes
	Custody and Asset Management	Yes
Market Infrastructures	Payments (RTGS, Target 2, ...)	Yes
	Securities (CSD, CCP, T2S,...)	Yes
Others	Corporate	Yes
	Insurance	Yes
	Other to specify	

SWIFT messaging services supported	
FIN	Yes
FileAct - Real Time	No
FileAct - Store & Forward	No
InterAct - Real Time	No
InterAct - Store & Forward	No
Browse	No

Product Performance	
Maximum number of messages per hour	240,000
Highest number of messages per day by largest customer	960,000
Is your application scalable (locally or on other platforms)	Yes

Technical deployment	
Mainframe	No
Windows PC or Unix box	Yes
Software as a Service (SaaS)	No
Add-on component (SOA, Web Service, Alliance add-on)	Yes
Integration middleware (EAI, ESB, BPM)	No

Geographical reach			
Region	Sales staff	Support staff	Customers
North America	Yes	Yes	Yes
Latin America	No	No	No
Northern Europe	Yes	Yes	Yes
Southern Europe	No	No	No
Middle-East & Africa	No	No	Yes
Asia Pacific	Yes	Yes	Yes

Reference customers					
Institution		Customer contact			
Toronto Dominion Securities		Name	Mr. Alex Kade	Email	Alex.kade@tdsecurities.com
National Bank of Abu Dhabi		Name	Mr. Scott Ramsay	Email	Scott.Ramsay@nbad.com
First Gulf Bank		Name	Mr. Praveen Kumar	Email	praveen.kumar@fgb.ae