



Category:	Solution provider	Contact name	e-mail	Phone
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SWIFT account manager:	Laurent Verstraeten	Luxembourg	Norbert Steinbach	Norbert.steinbach@swissrisk.com +352465030
Main market segment:	Payments	UK	Stelyo Siderides	stelyo.siderides@swissrisk.com +442078155200
Regional presence:	EMEA	Spain	Mirta Fortes	mirta.fortes@swissrisk.com +491727080929
Website:	www.swissrisk.com	Global contact	Dirk Vesper	dirk.vesper@swissrisk.com +4969509520

SWIFTReady application:

SWIFT Solutions supported		
Payments	Cash Reporting	Y
	Exceptions and Investigations	Y
	Payments Clearing	Y
	Bulk Payments / SEPA	Y
	Workers Remittances	N
Securities	Affirmations	N
	Corporate Actions	Y
	Data Distribution	N
	Funds	Y
	FpML	Y
	Proxy Voting	N
	Clearing & Settlement	N
	Transaction reporting (MIFID)	N
Treasury	Accord	N
	CLS TPS	N
Trade	TSU	N
Corporates	Corporates - Treasury	Y
	Corporate - Cash Management	Y

Market initiatives supported		
Payments	SEPA	Y
	TARGET 2	Y
Securities	MIFID	N
	TARGET 2 for Securities	N
	CCI	N
	Single CSD	N

SWIFT message types and categories supported	
<i>Please list here all message types (MT, MX, FpML, etc.) supported by your application</i>	
all ISO20022 MX are supported (across business solutions), all FIN categorie 1, 9 and categorie 0 Mts are supported.	
FIX 4.x and FpML is supported as well	

SWIFT messaging services supported	
FIN	Y
FileAct - Real Time	Y
FileAct - Store & Forward	Y
InterAct - Real Time	Y
InterAct - Store & Forward	Y

Primary design	
SOA Web services	Y
Client server	N

Pricing information		
	Minimum	Maximum
One-time fee	50	
Recurring fee	20% of license	

Reference customers	
Institution	Contact name
Clearstream Banking Frankfurt	on request
HSH Nordbank Lux	on request
BcL Lux	on request
Schrodrs IM, Lux	on request
Banque de Luxemburg, Lux	on request

X-Gen

Integration with SWIFT			
Direct integration	SWIFT Alliance Access	AFT	Y
		MQSA	Y
		ADK	N
	SWIFT Alliance Gateway	FTA	Y
		RAHA	Y
		MQHA	Y
Vendor interface	WSHA	N	
	IBM, Sungard		
	X-Gen		
Indirect integration	SWIFT certified EAI		

Functionality overview	
Message switching and routing	Y
Message monitoring	Y
Message flow control (Business Process Management)	Y
Message creation and processing	Y
Message reconciliation	Y
Message repair	Y
Message reporting	Y
Validation: parsing data errors	Y
Validation: invalid data or data types	Y
Validation: business rules	Y
Message entry interface	Y
Web-based user interface	Y

Target customer type	
Retail bank	Y
Corporate bank	Y
Investment bank	Y
Broker / Dealer	Y
Asset manager	Y
Custodian	Y
Funds administrator	Y
Market infrastructure - Payments	Y
Market infrastructure - Securities	Y
Corporates	Y
Other	N

Target customer tier	
Less than 200 messages per day	Y
Between 200 and 1000 messages per day	Y
Between 1000 and 10000 messages per day	Y
Above 10000 messages per day	Y

Geographical reach		
	Staff	Cust.
North America	Y	Y
Latin America	N	N
Northern Europe	Y	Y
Southern Europe	Y	Y
Middle-East	N	N
Africa (North)	N	N
Africa (South)	N	N
Asia	Y	Y
Pacific	N	N



Category:	Solution provider	Contact name	e-mail	Phone
HQ location:	Frankfurt, Germany	Dirk Vesper	dirk.vesper@swissrisk.com	0049 69 50952 383
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Main market segment:	Payments	Mirta Fortes	mirta.fortes@swissrisk.com	0049 172 7080 929
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SWIFTReady application:

FinTRACE

FinTRACE is an application that supports the full automation of the investigation process. The heart of FinTRACE is a workflow-driven processing engine (powered by X-Gen) which keeps track of all inquiry cases. Provides web-based case entry for call centre use as well as back office integration to enable access to all necessary underlying data, such as transactions. FinTRACE is suitable for institutions with low number of investigations as well as for financial institutions with large number of investigation cases. Some of FinTRACE main functionalities are: Multi-bank capability; Multi-channel support; Reporting; Search and Query functionalities; Automated escalation

SWIFTSolutions supported		
Payments	Cash Reporting	N
	Exceptions and Investigations	Y
	Payments Clearing	N
	Bulk Payments / SEPA	N
	Workers Remittances	N
Securities	Affirmations	N
	Corporate Actions	N
	Data Distribution	N
	Funds	N
	FpmL	N
	Proxy Voting	N
	Clearing & Settlement	N
	Transaction reporting (MIFID)	N
	Treasury	Accord
	CLS TPS	N
Trade	TSU	N
Corporates	Corporates - Treasury	N
	Corporate - Cash Management	N

Integration with SWIFT			
Direct integration	Alliance Access	AFT	Y
		MQSA	Y
		ADK	Y
		SOAP	N
		File transfer	Y
	Alliance Gateway	FTA	Y
		RAHA	Y
		MQHA	Y
		WSHA	N
	Alliance Lite	AutoClient	Y
Vendor interface			
Indirect integration	SWIFT certified EAI	X-GEN	

Market initiatives supported		
Payments	SEPA	N
	TARGET 2	N
Securities	MIFID	N
	TARGET 2 for Securities	N
	CCI	N
	Single CSD	N

Functionality overview	
Message switching and routing	Y
Message monitoring	Y
Message flow control (Business Process Management)	Y
Message creation and processing	Y
Message reconciliation	Y
Message repair	Y
Message reporting	Y
Validation: parsing data errors	Y
Validation: invalid data or data types	Y
Validation: business rules	Y
Message entry interface	Y
Web-based user interface	Y

SWIFT message types and categories supported	
<i>Please list here all message types (MT, MX, FpmL, etc.) supported by your application</i>	
All SWIFT Fin and XML messages are supported.	

Target customer type	
Retail bank	Y
Corporate bank	Y
Investment bank	Y
Broker / Dealer	N
Asset manager	N
Custodian	N
Funds administrator	N
Market infrastructure - Payments	N
Market infrastructure - Securities	N
Corporates	N
Other	N

SWIFT messaging services supported	
FIN	Y
FileAct - Real Time	Y
FileAct - Store & Forward	Y
InterAct - Real Time	Y
InterAct - Store & Forward	Y

Target customer tier	
Less than 200 messages per day	Y
Between 200 and 1000 messages per day	Y
Between 1000 and 10000 messages per day	Y
Above 10000 messages per day	Y

Primary design	
SOA Web services	Y
Client server	Y

Geographical reach		
	Staff	Cust.
North America	Y	N
Latin America	N	N
Northern Europe	Y	Y
Southern Europe	Y	N
Middle-East	N	N
Africa (North)	N	N
Africa (South)	N	N
Asia	Y	N
Pacific	Y	N

Pricing information		
	Minimum	Maximum
One-time fee		
Recurring fee		

Reference customers

Institution	Contact name
Commerzbank	Carmen Thust