



SWIFTReady?



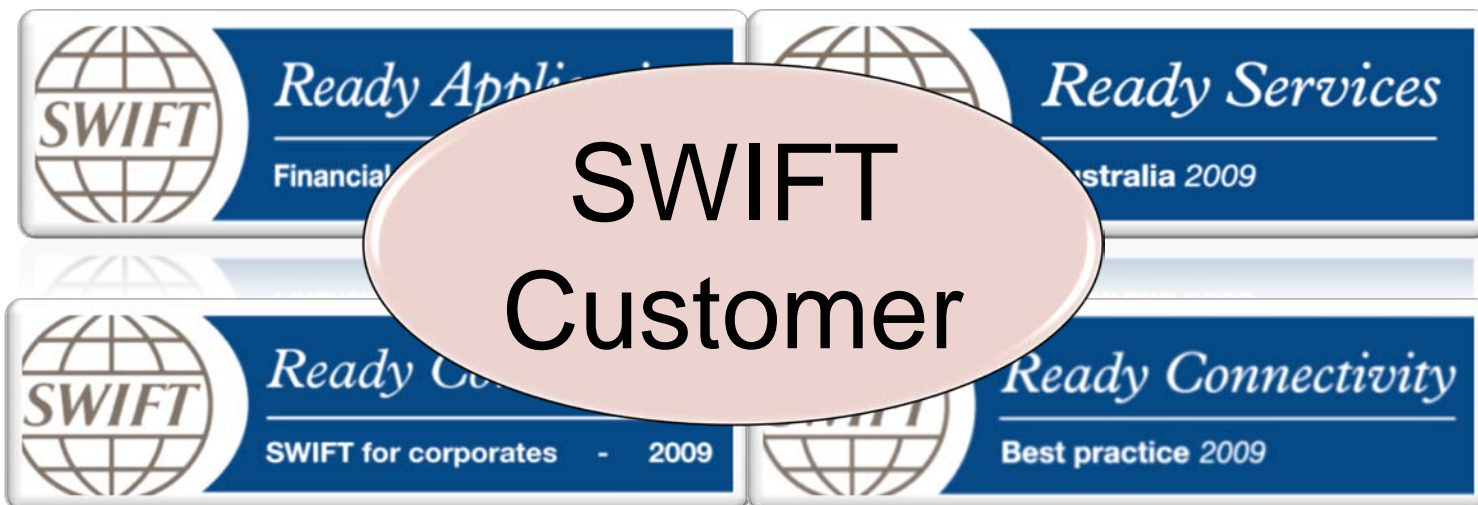
What's in it for you?

Aydin Erol

Hong Kong, 14 September 2009

What is SWIFTReady?

Validation and recognition
of the 'Readiness' of
3rd party products, services and connectivity
for SWIFT customers



SWIFTReady programme aims to provide you with answers to questions such as...

- Is my third-party solution compliant with SWIFT?
- Where do I find expertise for my SWIFT related projects?
- Who are the best-in-class Service Bureaux?
- Should I, as a corporate, join SWIFT and, if yes, how?

Each SWIFTReady programme uses stringent criteria that are SWIFT specific and reviewed on a yearly basis

SWIFTReady covers all third party components



What is it?



Third-party financial applications and
middleware with proven SWIFT-compliance

86 SWIFTReady Applications,
so far in 2009, as listed at SWIFT stand!

- 7 Market segments
- 17 SWIFTReady Application categories
- 46 Vendors

What's the scope?



Ready Application

Exceptions and Investigations 2009

| SWIFTReady Application Labels | SWIFTReady applications | | Market Segment |
|--|-------------------------|-----------|----------------|
| SWIFTReady Alliance Access Plug-in | 10 | 10 | Add-ons |
| SWIFTReady Corporates - Cash Management | 2 | 7 | Corporates |
| SWIFTReady Corporates - Treasury | 5 | | |
| SWIFTReady Accord Integration | 2 | 8 | Cross Market |
| SWIFTReady Reconciliation | 6 | | |
| SWIFTReady Financial EAI | 13 | 17 | Integration |
| SWIFTReady Messaging Data Services | 4 | | |
| SWIFTReady Cash Reporting user | 2 | 21 | Payments |
| SWIFTReady Cash Reporting provider | 3 | | |
| SWIFTReady Exceptions and Investigations | 5 | | |
| SWIFTReady Payments | 7 | | |
| SWIFTReady SEPA | 4 | | |
| SWIFTReady Corporate Actions | 4 | 12 | Securities |
| SWIFTReady Funds | 4 | | |
| SWIFTReady Securities Settlement | 4 | | |
| SWIFTReady Trade Finance | 7 | 11 | Trade |
| SWIFTReady Trade Services Utility | 4 | | |
| | 17 | 86 | 7 |



What are the criteria?



How are we validating the compliance criteria?

1. Technical validation

- Standards and syntax of all related messages

2. Functional validation

- Rule book, business flows

3. Customer validation

- References from live/pilot customers

What is published?



Ready Application

Exceptions and Investigations 2009

| |
|--------------------------------|
| Solutions |
| By customer |
| By business area |
| Applications |
| Standards |
| Messaging |
| Connectivity |
| A to Z |
| Release timeline |
| Pricing |
| Industry initiatives |
| Partner programme |
| SWIFTReady applications |
| SWIFTReady connectivity |
| SWIFTReady consultancy |
| SWIFTReady services |
| Sales contacts |

SWIFTReady applications

A customer centric programme

As a service for SWIFT customers, the Partner Management team runs a programme for qualifying the SWIFT-compliance of third party financial applications. Compliant applications are awarded a SWIFTReady label. SWIFTReady labels target FIN-based applications including Payments, Trade Finance and Securities applications, as well as applications supporting SWIFT's solutions such as Funds, Trade Services Utility, Cash Reporting and Exceptions and Investigations.

There is a clear and specific set of criteria for each of the SWIFTReady certification programme. These criteria are SWIFT-specific and re-evaluated on a yearly basis. They are designed to reflect the capability of a product to provide automation in a SWIFT environment. The label granted to an application clearly indicates the market and the year for which the criteria were fulfilled.

If you are an Application Vendor and want to apply for a SWIFTReady application label, [please click here](#)

SWIFTReady application label criteria 2009

You will find below the 2009 label criteria for all SWIFTReady application certification programme. For a list of SWIFTReady applications, [click here](#).

Payments

- SWIFTReady Cash Reporting (user & provider)
- SWIFTReady Exceptions and Investigations
- SWIFTReady SEPA
- SWIFTReady Payments



What is published?



Ready Application

Exceptions and Investigations 2009

Table of content

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SWIFTReady application label criteria 2009
Example

What's in it for you?



Your value:

- Complete set of criteria to cover all requirements
- Independent view for you to compare results
- Professional approach to testing and compliance
- A wide range of products across all markets

- ✓ You save time, effort, cost
- ✓ You select the best fit for your requirements

Where do we list them?



- > [Click here to download the label criterias for SWIFTReady applications](#)
- > If you are an application vendor and want to get a SWIFTReady label, [click here](#)

SWIFTReady applications per market

- > [Payments](#)
- > [Securities](#)
- > [Trade](#)
- > [Corporates](#)
- > [Cross-market](#)
- > [Integration](#)
- > [Alliance Access add-ons](#)

Full list of SWIFTReady applications

- > [Sort by provider](#)
- > [Sort by application](#)
- > [Sort by market segment](#)
- > [Sort by label](#)

SWIFTReady applications - 2009

| Provider | Application | Market segment | SWIFTReady label | Release |
|---|---|----------------|--|---------|
| ACE Software Solutions Inc. | OFAC Guard | Add-ons | SWIFTReady Alliance Access Plug-in | 6.0 |
| ACE Software Solutions Inc. | PELICAN | Integration | SWIFTReady Financial EAI | 6.0 |
| ACI Worldwide Inc. | Open Account Manager | Trade | SWIFTReady Trade Services Utility | 1.3 |
| ACI Worldwide Inc. | ACI Money Transfer System | Payments | SWIFTReady Payments | 2.0 |
| Axway | Axway Financial Exchange | Securities | SWIFTReady Funds | 2.0 |
| Axway | Axway Financial Exchange | Integration | SWIFTReady Financial EAI | 2.0 |
| BIS | qPayIntegrator | Payments | SWIFTReady SEPA | 2.4 |
| CMA Small Systems AB | SNG/X | Payments | SWIFTReady Cash Reporting Provider | 1.0.5 |
| CMA Small Systems AB | SNG/X | Payments | SWIFTReady Cash Reporting User | 1.0.5 |
| CSI Complex System | BankTrade | Trade | SWIFTReady Trade Finance | 12 |
| CSI Complex System | CrossTrade | Trade | SWIFTReady Trade Services Utility | 1.0 |



What is it?



SWIFTReady Services are available to SWIFT customers for the implementation, integration and upgrade of SWIFTNet infrastructure and solutions using 'SWIFT Certified Expertise'.

What does it mean to you, as a SWIFT customer?

- Managed expectations = You get what you expect
- SWIFT Certified Expertise = You get 'real' experts
- Local availability of services = You get cost effective services
- Monitoring of customer satisfaction = You get 'delighted'



What's in it for you?



You get what you expect: Defined Services

Implementation Service Overviews available on swift.com

SWIFT Software Implementation Service Overview

This document provides the Customer with an overview of the SWIFT Software Implementation Service. It gives the benefits of using the service and the quality standards that Customers can expect from a SWIFT Service Partner to carry out this implementation service.

Publication date: 1 February 2007

SWIFTNet Connectivity Implementation Service Overview

This document provides Customers with an overview of the SWIFTNet Connectivity Implementation Service. It gives the benefits of using the service and the quality standards that Customers can expect from a SWIFT Service Partner to carry out this implementation service.

Publication date: 1 February 2007

SWIFT Solutions Implementation Service Overview

This document provides the Customer with an overview of the SWIFT Solutions Implementation Service. It gives the benefits of using the service and the steps that must be followed. It also describes the responsibilities of each party involved, and the quality standards that Customers can expect from a SWIFT Service Partner. SWIFT strongly encourages the use of a SWIFT Service Partner to carry out this implementation service.

Publication date: 1 February 2007

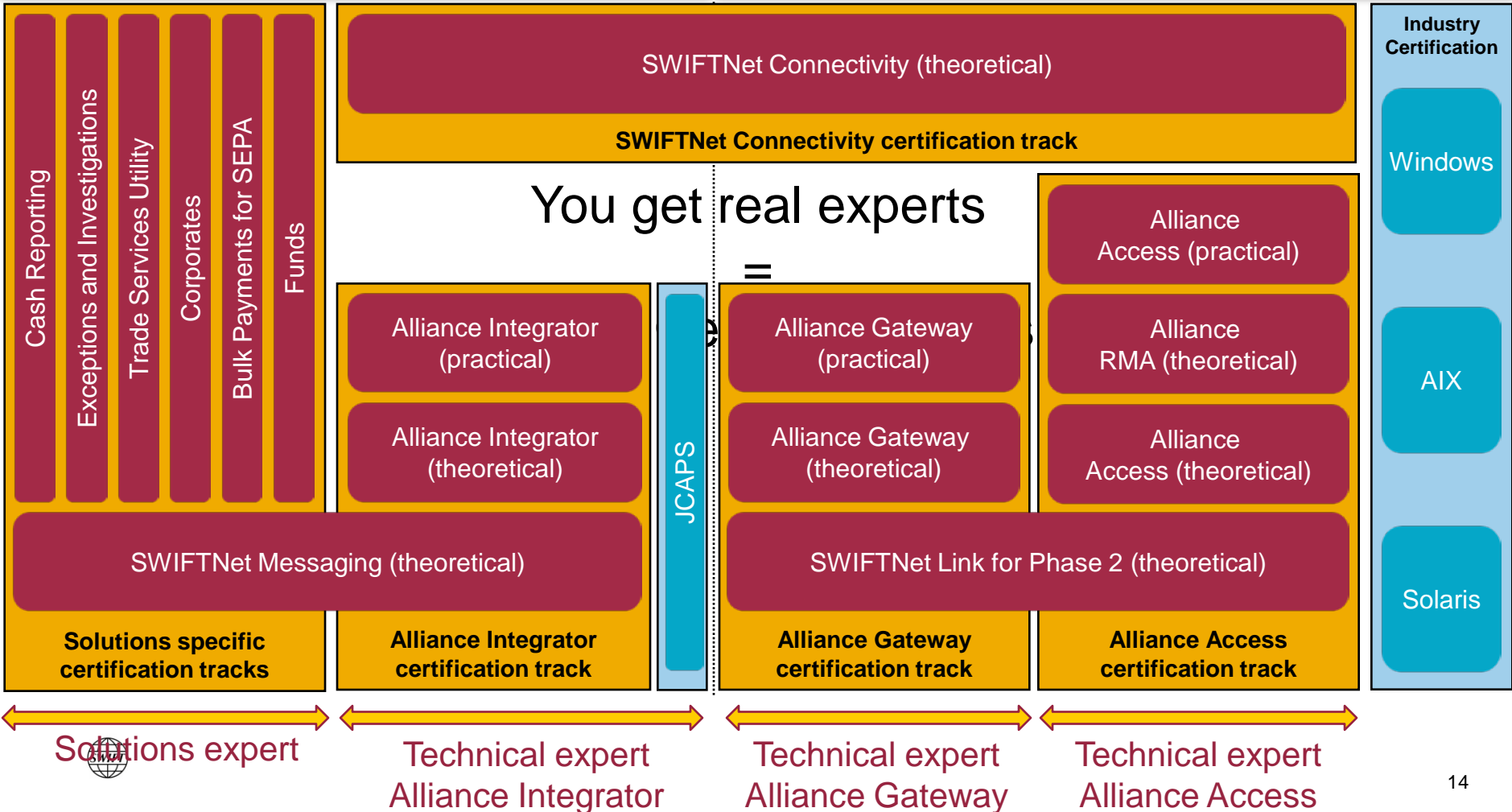


What's in it for you?



Solutions

SWIFTNet Infrastructure



What's in it for you?



1. Theoretical tests
2. Practical tests
3. Industry certification(s)



Certificates are valid for

- The calendar year in which they are issued
- Working within the organisation of a SWIFTReady service provider to deliver the 'defined' services

What's in it for you?



AMERICAS
5 countries
8 service providers

EMEA
20 countries
30 service providers

| | | | |
|-------------|---|-------------|---|
| UAE | 1 | Luxemburg | 3 |
| Switzerland | 2 | Nigeria | 1 |
| Germany | 1 | Netherlands | 1 |
| Spain | 2 | Poland | 1 |
| France | 3 | Portugal | 2 |
| UK | 4 | Romania | 1 |
| Greece | 1 | Russia | 1 |
| Hungary | 1 | Ukraine | 1 |
| Italy | 1 | Serbia | 1 |
| Lebanon | 1 | Soth Africa | 1 |

You get cost effective services

=

**Local availability of services
in over 35 countries around the globe**

| | |
|-----------|---|
| Brazil | 1 |
| Canada | 1 |
| Colombia | 1 |
| USA | 4 |
| Venezuala | 1 |

| | |
|------------|---|
| Australia | 1 |
| China | 2 |
| Hong-Kong | 1 |
| Indonesia | 1 |
| India | 2 |
| Japan | 2 |
| Rep. Korea | 1 |
| Singapore | 2 |
| Taiwan | 1 |
| Vietnam | 1 |

ASIA PACIFIC
10 countries
14 service providers



What's in it for you?

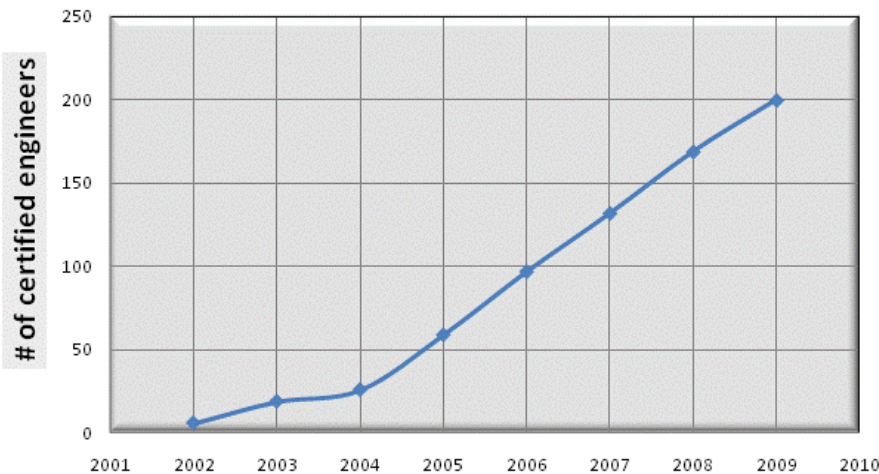


Worldwide 52 local Service Delivery Centers (SDC)

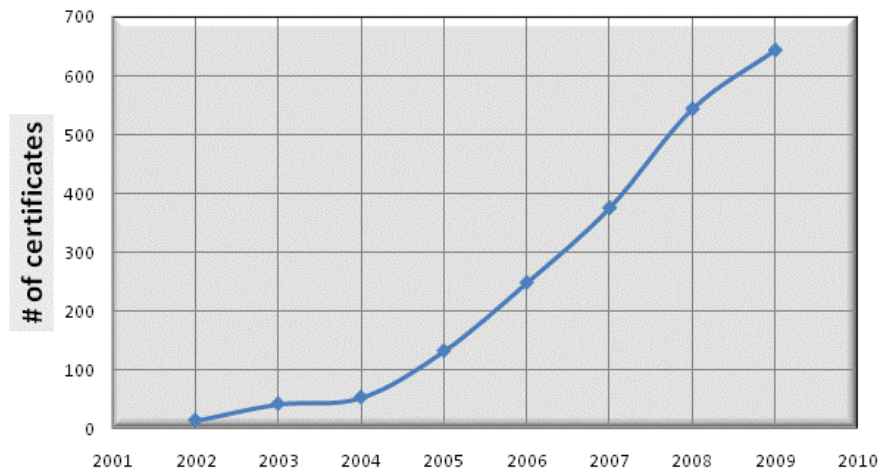
200 SWIFT Certified Experts (SCE)

More than 600 certifications

number of engineers vs. Year



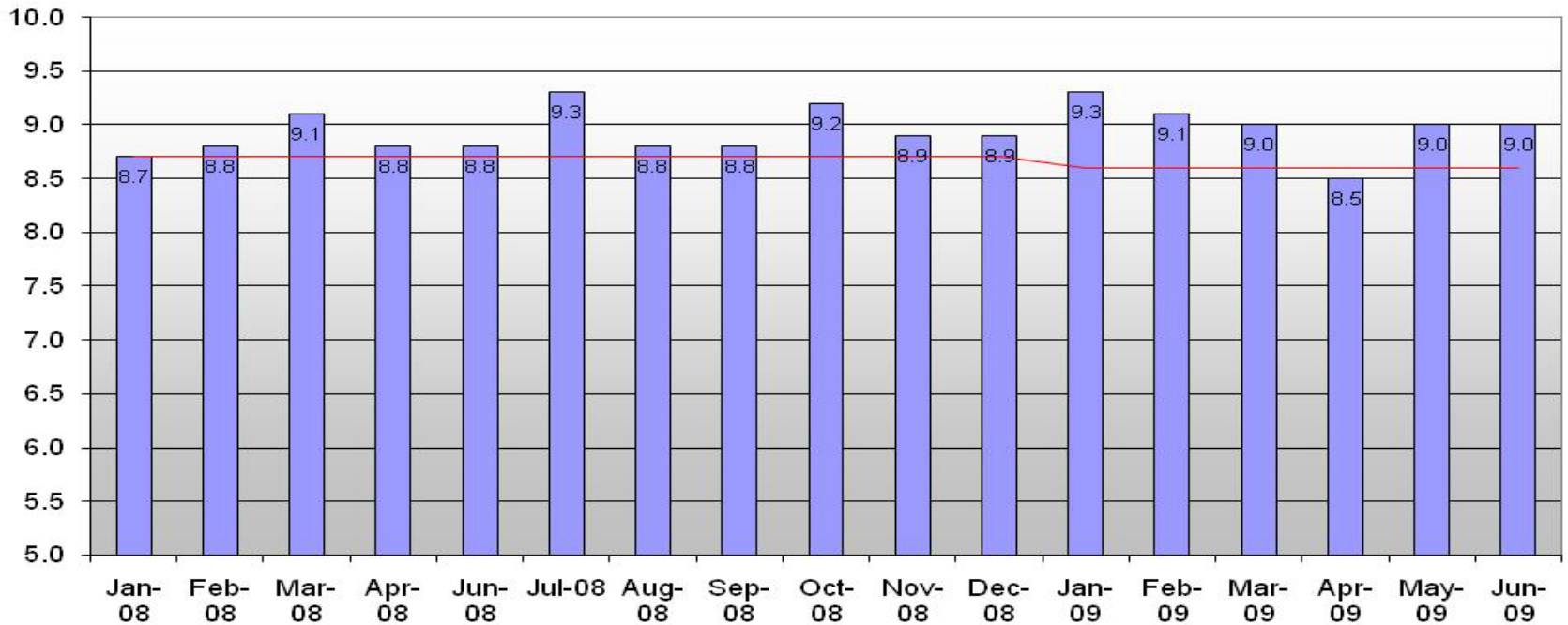
number of certificates vs. Year



What's in it for you?



Monthly overall customer satisfaction ratings





What is published?

Worldwide | [EMEA](#) | [Americas](#) | [Asia Pacific](#)

> Sort by service provider name

> Sort by country

Worldwide

Country

Name

Scope of accreditation

SWIFTNet infrastructure

SWIFT solutions

Platform

SWIFT Certified Experts

Alliance Access/Entry

Alliance Starter Set

Alliance Growth

Alliance Web

Alliance Web 2.0

SWIFTNet Connectivity

CCI Screenflow

Alliance Integrator

Cash Reporting

E & I

Bulk Pmts for SEPA

TSU

SWIFT for corporates

Funds

TARGET2

Windows

AIX

Solaris

Sun JavaCAPS

| | | | | | | | | | | | | | | | | | | | |
|---------------|---|----|---|---|---|---|---|---|--|---|---|---|---|---|---|---|---|---|--|
| Australia | Decillion Solutions (Australia) Pty. Ltd | 3 | ★ | ★ | ★ | | ★ | | | | | | | | | ★ | | | |
| Benelux | EastNets Research & Development | 2 | ★ | ★ | ★ | ★ | ★ | | | | | | | | | ★ | ★ | | |
| | FINANCEWARE Comercio & Servicios Ltda | 2 | ★ | ★ | ★ | | | | | | | | | | | ★ | | | |
| Canada | Expertus Technologies Inc | 3 | ★ | ★ | ★ | ★ | ★ | ★ | | | | | | | | ★ | | ★ | |
| China | China Systems Corporation | 6 | ★ | ★ | ★ | ★ | ★ | | | | | | | | | ★ | ★ | | |
| China | NCSI (Shanghai) CO. Ltd | 6 | ★ | ★ | ★ | ★ | ★ | | | | | | | | | ★ | ★ | ★ | |
| Colombia | Alliance Enterprise | 16 | ★ | ★ | ★ | ★ | ★ | ★ | | ★ | ★ | ★ | ★ | ★ | | ★ | | | |
| Dubai - U.A.E | EastNets | 6 | ★ | ★ | ★ | ★ | ★ | ★ | | ★ | | | | | ★ | ★ | ★ | | |
| France | Consort NT | 2 | ★ | ★ | ★ | | ★ | | | | | | | | | ★ | | | |
| France | Syntesys France (Previously OnFin) | 7 | ★ | ★ | ★ | ★ | ★ | ★ | | | | | | | | ★ | ★ | ★ | |

Links

Links



What is it?



How is this new label defined and implemented?

- New Service Bureau policy = labeling for Indirect Connectivity
- Best Practice label = SWIFTReady Connectivity
- Criteria categories to assure the customer of Best Practice
 - Availability
 - Security & Access Control
 - Resiliency
 - Integrity & Change Management
- Criteria verified via stringent onsite auditing

What's in it for you?



What does this new label mean to you, our customers?

- A non redundant disaster recovery site
- Full SWIFTNet enablement
- Auditable security and access control processes
- Ability to process the peak day volumes in 2 hours
- Availability of SWIFT Certified Expertise

What's in it for you?



First certification achieved

Congratulations to SMA in London, United Kingdom, as the first Service Bureau with the SWIFTReady Connectivity label

Several others are preparing

What is published?



New

The screenshot shows the SWIFT website's "SWIFTRReady Connectivity" page. At the top left is the SWIFT logo and the tagline "The global provider of secure financial messaging services". A search bar and a "Search" button are on the top right. Below the search bar are flags for the UK, France, Spain, and China. A navigation menu includes "About SWIFT", "Solutions", "Ordering", "Support", "Training", "Events", and "Contacts". A breadcrumb trail reads "Home > Solutions > Partner programme > SWIFTRReady connectivity", with "Customer log in" on the right. A left-hand sidebar lists various categories, with "SWIFTRReady connectivity" highlighted in blue. The main content area features the "SWIFTRReady Connectivity" title, a "Ready Connectivity Best practice 2009" badge, and an update date of "17 August 2009". The text explains that the label is awarded to providers meeting high standards of resiliency and security. A list of requirements follows, with the last item, "Download the full list of requirements", circled in red. At the bottom, there is a section for "List of SWIFTRReady Connectivity labelled Service Bureaux" and a "Related Pages" section with "SMA Financial" listed.

The global provider of secure financial messaging services

Search swift.com... Search

UK France Spain China

About SWIFT Solutions Ordering Support Training Events Contacts

Home > Solutions > Partner programme > SWIFTRReady connectivity Customer log in

Solutions

By customer

By business area

Applications

Standards

Messaging

Connectivity

A to Z

Release timeline

Pricing

Industry initiatives

Partner programme

SWIFTRReady applications

SWIFTRReady connectivity

SWIFTRReady consultancy

SWIFTRReady services

Sales contacts

SWIFTRReady Connectivity

Updated 17 August 2009

The SWIFTRReady Connectivity label is awarded to shared infrastructure providers that meet higher standards of resiliency and security. The programme aims to help users to identify the best providers that meet very strict quality levels.

Stringent requirements must be verified by SWIFT before a Service Bureau is awarded the SWIFTRReady Connectivity labels. Requirements include:

- > A non redundant disaster recovery site
- > Full SWIFTNet enablement
- > Auditable security and access control processes
- > Ability to process the peak day volumes in 2 hours
- > Availability of SWIFT Certified Expertise
- > Download the full list of requirements

List of SWIFTRReady Connectivity labelled Service Bureaux

Related Pages

SMA Financial



What's in it for you?

A screenshot of the SWIFT website's news archive page. The page header includes the SWIFT logo and tagline "The global provider of secure financial messaging services", a search bar, and flags for the UK, France, Spain, and China. A navigation menu contains links for "About SWIFT", "Solutions", "Ordering", "Support", "Training", "Events", and "Contacts". Below the menu is a breadcrumb trail: "Home > About SWIFT > Press room > SWIFT news archive > Home page stories archive - 2009 > SWIFT launches the SWIFTReady Connectivity label". A "Customer log in" link is visible on the right. The main content area features a left-hand navigation menu with "SWIFT news archive" selected. The article title is "SWIFT launches the SWIFTReady Connectivity label". The sub-headline reads: "The new SWIFTReady Connectivity label makes choosing best-in-class connectivity providers even easier." The article is dated "Published on August 31, 2009". The main text includes a photo of people at a conference and a quote from SMA Financial. A "SWIFT Ready Connectivity Best practice 2009" logo is also present. The footer contains a quote about service bureaux and member concentrators, and a box for SMA Financial.

SWIFT launches the **SWIFTReady Connectivity** label

The new SWIFTReady Connectivity label makes choosing best-in-class connectivity providers even easier.

Published on August 31, 2009

The SWIFTReady connectivity label recognises shared infrastructure providers who offer greater security and resiliency for end-users connecting to SWIFT. The new label helps you identify the best shared infrastructure providers with the highest operational standards.

The SWIFTReady Connectivity label was launched as part of a fundamental re-design of the shared infrastructure programme covering service bureaux and member concentrators.

"Service bureaux and member concentrators are critical distribution channels as they provide connectivity and other value-added services to nearly a third of the SWIFT

SMA Financial.
Founded in 1995, SMA Financial has established its leadership as a source



What is it?



- A new label that goes beyond certification
- A planned and structured approach to collaborate and coordinate actions for the benefit of our customers
- A framework to enable consultancy firms and SWIFT to develop the 'SWIFT for corporates' business

What's in it for you?



- Corporate treasury consultants certified on SWIFT
- Providing you with answers to questions such as:
 - How to access to all your bank accounts via single channel?
 - How to get a better view of your global cash positions?
 - How do you standardize your financial process globally?
 - What is the related business case & the ROI?
- Cooperation between your consultants and SWIFT

What is published?

SWIFTRReady consultancy providers details

Updated 24 June 2009

- SWIFTRReady consultancy programme
- Apply for the SWIFTRReady consultancy label

Accenture
Olivier Geissler - olivier.geissler@accenture.com
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Phone: +32 2 226 7211
Address: 145 Koningstraat, 1000 Brussels, Belgium
Website: www.accenture.com

UTSIT
Hervé Postic - herve.postic@utsit.com
Phone: +33 6 80 22 66 43
Address: 15 bis Avenue d'Aligre, F75000 Chztou, France
Website: <http://www.utsit.com/>

Zanders
Peter Geurts - p.geurts@zanders.eu
Phone: +31 356 928989
Address: 134 Brinklaan, 1404 GB Bussum, The Netherlands
Website: www.zanders.eu

External Links

- www.accenture.com
- www.utsit.com
- www.zanders.eu

New

Several others are in the process of being certified

SWIFTReady providers

Where do you find them listed?

The screenshot shows the SWIFT website homepage. At the top left is a banner for 'Sibos: Two weeks away' with a cityscape background. To the right are navigation links for 'New customers' and 'Customer login'. Below the banner is a section titled 'The SWIFT community' (circled in red), which contains three columns of links: 'Customers', 'Business areas', and 'Industry initiatives'. The 'Partners' section (also circled in red) is located below 'Industry initiatives' and lists 'SWIFTReady solutions', 'Qualified interfaces', and 'Partner Corner'. To the right of the community section is a 'BIC search' box with a search button and a list of search options. Below that is a 'Quick links' section with various download and handbook links. At the bottom left is the 'SWIFT news' logo, and at the bottom right is a banner for 'Dialogue, Q4 2009 available now'.

New customers

- > Who we are
 - > What we can offer you
- > Become a customer

Customer login

Log in to access Online customer services

Customer login

- > Register now
- > Learn about the benefits of registering

The SWIFT community

Customers

- > Banks
- > Broker/dealers
- > Corporates
- > Custodians
- > Investment managers
- > High-value payment market infrastructures
- > Low-value payment market infrastructures
- > Securities market infrastructures

Business areas

- > Custody services
- > Funds
- > Payments and cash management
- > Pre-trade / trade
- > Post-trade / pre-settlement
- > Trade services

Industry initiatives

- > Distributed architecture
- > Giovannini
- > MiFID
- > SEPA

Partners

- > SWIFTReady solutions
- > Qualified interfaces
- > Partner Corner

Standards

- > Learn about standards

BIC search

Search

- > Advanced BIC search
- > New: Online Directories
- > More directories

Quick links

- > BIC download
- > Download Centre
- > User Handbook
- > Watch

Join the community dialogue on www.swiftcommunity.net





SWIFT news

Dialogue, Q4 2009 available now



SWIFTReady providers

Where do you find them listed?

| | | |
|---|---|--|
| <p>Industry initiatives</p> <p>Partner programme</p> <p>SWIFTReady applications</p> <p>SWIFTReady connectivity</p> <p>SWIFTReady consultancy</p> <p>SWIFTReady services</p> <p>Sales contacts</p> | <p>When certified by SWIFT, an application or a solution provider is awarded a SWIFTReady label.</p> | |
| | <p>SWIFTReady application</p>  <p>Third-party financial applications and middleware with proven SWIFT-compliance</p> <ul style="list-style-type: none"> > List of SWIFTReady applications > SWIFTReady applications label criteria | <p>SWIFTReady services</p>  <p>Third-party professional services providers with experts certified by SWIFT through exams.</p> <ul style="list-style-type: none"> > List of SWIFTReady service providers > Details of the SWIFTReady services programme |
| | <p>SWIFTReady consultancy</p>  <p>A framework enabling consultancy firms and SWIFT to jointly develop the SWIFT for corporates business</p> <ul style="list-style-type: none"> > List of SWIFTReady consultancy providers > Details of the SWIFTReady consultancy programme | <p>SWIFTReady connectivity</p>  <p>Service Bureaux with verified security and reliability, full SWIFTNet enablement and customer support</p> <ul style="list-style-type: none"> > Details of the SWIFTReady Connectivity programme |





Thank you

