

Easy access to SWIFT for investment managers

Enabling simple, low-cost automation for securities and payments transactions



A quick way to achieve secure, standardised communication with all your counterparties globally

Industry challenges

- Reduced operational risks and costs
- Enhanced service levels, including:
 - Better reporting
 - Reconciliation
 - Guaranteed delivery
- Greater transparency and accuracy of cash and securities transactions

With Alliance Lite, you can easily and quickly start automating your securities and payment transactions using widely adopted ISO standards.

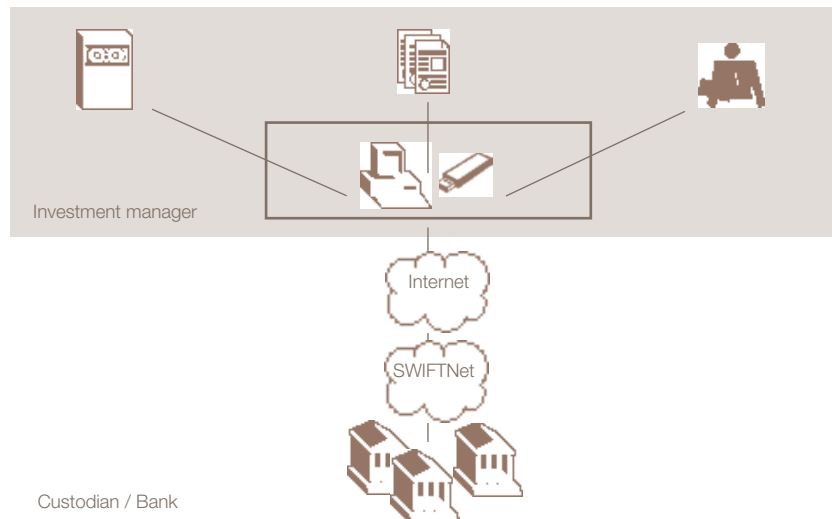
Without impacting your current environment, take advantage of SWIFT as a secure and reliable way to communicate with all your custodians and other counterparties via one connection globally.

What are the benefits of SWIFT for investment managers?

SWIFT brings investment managers peace of mind in a difficult economic environment – through better risk controls, reduced operational costs and increased flexibility and scale.

Why should my company automate on SWIFT when it operates in a limited transaction volume environment?

Regardless of volumes, manual intervention in transaction processing creates significant risks – both operational and reputational. Manual processes cause major errors, leading to potentially sizeable claims – all the more so in volatile markets.



In addition, the operational costs of manual processing are usually underestimated. Studies have shown that operating costs can be reduced by 50 per cent by using standardised messaging. These studies do not take into consideration the additional costs of fixing errors and processing claims, involving senior management and legal teams on top of the investigation teams required to work on the errors.

When your operations are automated, you can deal smoothly with volume peaks. SWIFT also acts as a trusted third-party providing time stamps and an audit trail during the full trade lifecycle.

In short, Alliance Lite offers an easy, low cost route to communicate in a standardised, automated way over SWIFT with all your custodians. Automating over SWIFT using Alliance Lite enables you to efficiently address all the shortcomings imposed on your operations by manual processing.

What are the key features of Alliance Lite?

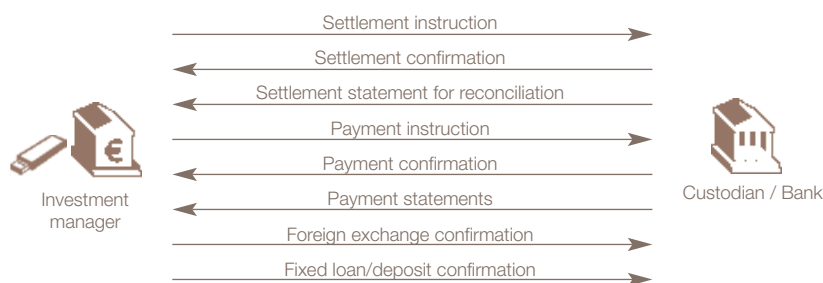
- Easy to deploy: You just need a standard PC with a standard internet connection and a USB port for the SWIFT-issued hardware security token.
- Easy to use: Alliance Lite supports manual entry and display of commonly used settlement instructions, as well as integration with business applications, using CSV files. There is no need to train users on ISO standards: common language is used on the GUI input screens and reporting and file upload is in simple CSV pre-defined format.
- Secure and reliable: Alliance Lite meets the very stringent SWIFT security standards for financial messaging.
- Low cost: Alliance Lite is a cost effective route on to SWIFT for investment managers with smaller volumes.

What business can I conduct over SWIFT using Alliance Lite?

Investment managers using Alliance Lite can send:

- Settlement instructions
- Payments instructions
- Forex confirmation
- Fixed loan/deposit confirmation

Investment managers using Alliance Lite can receive settlement, payments, forex and loan/deposit confirmations, settlement status and statements of holdings and payments.



Investment managers can also use Alliance Lite to connect to SWIFT for the automation of other business flows, such as trade matching and related reporting.

For more information, please visit swift.com.